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Modal Logic over  
Higher Dimensional  
Automata –  
technicalities

Research Report No.  
393

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Isbn 82-7368-354-0  
Issn 0806-3036

January 2010



# Modal Logic over Higher Dimensional Automata – technicalities

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## Abstract

Higher dimensional automata (*HDA*) are a model of concurrency that can express most of the traditional partial order models like Mazurkiewicz traces, pomsets, event structures, or Petri nets. Modal logics, interpreted over Kripke structures, are the logics for reasoning about sequential behavior and interleaved concurrency. Modal logic is a well behaved subset of first-order logic; many variants of modal logic are decidable. However, there are no modal-like logics for the more expressive *HDA* models. In this paper we introduce and investigate a modal logic over *HDA*s. We prove that this general higher dimensional modal logic (*HDML*) is decidable and we define a complete axiomatic system for it. We also show how, when the *HDA* model is restricted to Kripke structures, a syntactic restriction of *HDML* becomes the standard modal logic. Then we isolate the class of *HDA*s that encode Mazurkiewicz traces and show how *HDML* can be restricted to LTrL (the linear time temporal logic over Mazurkiewicz traces).

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## **Contents**

<b>1</b>	<b>Introduction</b>	<b>3</b>
<b>2</b>	<b>Modal Logic over Higher Dimensional Automata</b>	<b>4</b>
2.1	Decidability and completeness . . . . .	8
<b>3</b>	<b>Encoding Standard Modal Logic into Higher Dimensional Modal Logic</b>	<b>33</b>
3.1	Boosting expressivity with the Until operator . . . . .	34
<b>4</b>	<b>Partial Order Models and their Logics in the Higher Dimensional Framework</b>	<b>36</b>
<b>5</b>	<b>Conclusion</b>	<b>40</b>
5.1	Open Problems: . . . . .	41

## 1 Introduction

*Higher dimensional automata (HDAs)* are a general formalism for modeling concurrent systems [Pra91, vG06]. In this formalism concurrent systems can be modeled at different levels of abstraction, not only as all possible interleavings of sequences of the actions of their concurrent components. *HDAs* can model concurrent systems at any granularity level and make no assumptions about the durations of the actions. Moreover, *HDAs* are not constrained to only before-after modeling and expose explicitly the choices in the system. It is a known issue in concurrency models that the combination of causality, concurrency, and choice is difficult; in this respect, *HDAs* and Chu spaces [Pra95] do a fairly good job [Pra03].

Higher dimensional automata are more expressive than most of the models based on partial orders or on interleavings (e.g., Petri nets and the related Mazurkiewicz traces, or the more general partial order models like pomsets or event structures). Therefore, one only needs to find the right class of *HDAs* in order to get the desired models of concurrency.

Work has been done on defining temporal logics over Mazurkiewicz traces [MT96] and strong results like decidability and expressive completeness are known [DG06, TW02]. For general partial orders, temporal logics usually become undecidable [AP99]. For the more expressive event structures there are fewer works; a modal logic is investigated in [LMRT90].

There is hardly any work on logics for higher dimensional automata [Pra03] and, as far as we know, there is no work on *modal logics for HDAs*. In practice, one is more comfortable with modal logics, like temporal logics or dynamic logics, because these are generally decidable (as opposed to full first-order logic, which is undecidable).

That is why in this paper we introduce and develop a logic in the style of standard modal logic. This logic has *HDAs* as models, hence, the name *higher dimensional modal logic (HDML)*. The associated language is our basic language that talks about general models of concurrent systems. For this basic logic we prove decidability using a filtration argument. Also, we provide an axiomatic system and prove it to be sound and complete for the higher dimensional automata. *HDML* in its basic variant is shown to become standard modal logic when the language and the higher dimensional models are restricted in a certain way.

The other purpose of our work is to provide a general framework for reasoning about concurrent systems at any level of abstraction and granularity, accounting also for choices and independence of the actions. Thus, the purpose of Section 4 is to show that studying *HDML*, and particular variants of it, can be fruitful for analyzing concurrent systems and their logics. In

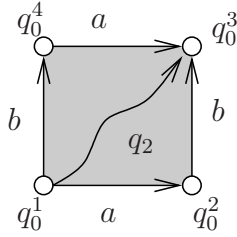


Figure 1: Example of a *HDA* with two concurrent events.

this respect we study variants of higher dimensional modal logic inspired by temporal logic and dynamic logic. One variant, in Section 3.1, extends the expressiveness of the basic language with an *Until* operator, in the style of temporal logics. We show how this variant of *HDML*, when interpreted over the class of *HDAs* corresponding to Kripke structures, can be particularized to LTL [Pnu77]. A second variant, in Section 4, decorates the *HDML* modalities with labels. This multi-modal variant of *HDML*, when interpreted over the class of *HDAs* that encodes Mazurkiewicz traces, becomes LTrL [TW02] (the linear time temporal logic over Mazurkiewicz traces).

## 2 Modal Logic over Higher Dimensional Automata

In this section we define a higher dimensional automaton (*HDA*) following the definition and terminology of [vG06, Pra03]. Afterwards, we propose the language of *higher dimensional modal logic* (*HDML*) as a language for reasoning about concurrent systems modeled as *HDAs*. The semantic interpretation of the language is defined in terms of *HDAs* (i.e., the *HDAs*, with a valuation function attached, are the models we propose for *HDML*).

For an intuitive understanding of the *HDA* model consider the standard picture [Pra03, vG06] given in Fig. 1. This represents a *HDA* that models two concurrent events which, for this example, are labeled by *a* and *b* (one might have the same label *a* for both events). The *HDA* has four states,  $q_0^1$  to  $q_0^4$ , and four transitions between them. This would be the standard picture for interleaving, but in the case of *HDA* there is also a square  $q_2$ . Traversing through the interior of the square means that both events are executing. When traversing on the lower transition means that event one is executing but event two has not started yet, whereas, when traversing through the upper transition it means that event one is executing and event two has

finished already. In the states there is no event executing, in particular, in state  $q_0^3$  both events have finished, whereas in state  $q_0^1$  no event has started yet.

In the same manner *HDA*s allow to represent three concurrent events through a cube, or more events through hypercubes. Causality of events is modeled by sticking such hypercubes one after the other. For our example, if we omit the interior of the square (i.e.,  $q_2$  is removed) we are left with a description of a system where there is the choice between two sequences of two events, i.e.,  $a; b + b; a$ .

**Definition 2.1 (higher dimensional automata)** A cubical set  $H = (Q, \bar{s}, \bar{t})$  is formed of a family of sets  $Q = \bigcup_{n=0}^{\infty} Q_n$  with all sets  $Q_n$  disjoint, and for each  $n$ , a family of maps  $s_i, t_i : Q_n \rightarrow Q_{n-1}$  with  $1 \leq i \leq n$  which respect the following cubical laws:

$$\alpha_i \circ \beta_j = \beta_{j-1} \circ \alpha_i, \quad 1 \leq i < j \leq n \text{ and } \alpha, \beta \in \{s, t\}. \quad (1)$$

In  $H$ , the  $\bar{s}$  and  $\bar{t}$  denote the collection of all the maps from all the families (i.e., for all  $n$ ). A higher dimensional structure  $(Q, \bar{s}, \bar{t}, l)$  over an alphabet  $\Sigma$  is a cubical set together with a labeling function  $l : Q_1 \rightarrow \Sigma$  which respects  $l(s_i(q)) = l(t_i(q))$  for all  $q \in Q_2$  and  $i \in \{1, 2\}$ .<sup>1</sup> A higher dimensional automaton  $(Q, \bar{s}, \bar{t}, l, I, F)$  is a higher dimensional structure with two designated sets of initial and final cells  $I \subseteq Q_0$  and  $F \subseteq Q_0$ .

We call the elements of  $Q_0, Q_1, Q_2, Q_3$  respectively *states*, *transitions*, *squares*, and *cubes*, whereas the general elements of  $Q_n$  with  $n > 3$  are called *n-dimensional cubes* (or *hypercubes*). We call generically an element of  $Q$  a *cell* (also known as *n-cell*). For a transition  $q \in Q_1$  the  $s_1(q)$  and  $t_1(q)$  represent respectively its source and its target cells (which are *states* from  $Q_0$  in this case). Similarly for a general cell  $q \in Q_n$  there are  $n$  source cells and  $n$  target cells all of dimension  $n - 1$ . Intuitively, an *n-dimensional cell*  $q$  represents a configuration of a concurrent system in which  $n$  events are performed at the same time, i.e., concurrently. A source cell  $s_i(q)$  represents the configuration of the system before the starting of the  $i^{\text{th}}$  event, whereas the target cell  $t_i(q)$  represents the configuration of the system immediately after the termination of the  $i^{\text{th}}$  event. A transition of  $Q_1$  represents a configuration of the system in which a single event is performed.

The cubical laws account for the geometry (concurrency) of the *HDA*s model; there are four kinds of cubical laws depending on the combination of source/target maps. In our example consider the following cubical law:

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<sup>1</sup>Later, in Definition 4.6, the labeling is extended naturally to all cells.

$t_1(s_2(q_2)) = s_1(t_1(q_2))$ . In the left hand side, the second source cell of  $q_2$  is, in this case, the transition  $s_2(q_2) = q_1^1 = (q_0^1, q_0^4)$  and the first target cell of  $q_1^1$  is  $q_0^4$  (the only target cell because  $q_1^1 \in Q_1$ ); this must be the same cell when taking the right hand side of the cubical law, i.e., the first target cell is  $t_1(q_2) = q_1^2 = (q_0^4, q_0^3)$  and the first source of  $q_1^2$  is  $q_0^4$ .

We propose the language of higher dimensional modal logic for talking about concurrent systems. *HDML* follows the tradition and style of standard modal languages [BdRV01].

**Definition 2.2 (higher dimensional modal logic)** *A formula  $\varphi$  in higher dimensional modal logic is constructed using the grammar below. Consider a set  $\Phi_B$  of atomic propositions  $\phi \in \Phi_B$  which are combined using the propositional symbols  $\perp$  and  $\rightarrow$  (from which one can generate all other standard propositional operations), and using the modal operations  $\{\}$  and  $\langle \rangle$ .*

$$\varphi \quad := \quad \phi \mid \perp \mid \varphi \rightarrow \varphi \mid \{\}\varphi \mid \langle \rangle\varphi$$

We call  $\{\}$  the start modality and  $\langle \rangle$  the terminate modality. The intuitive reading of  $\{\}\varphi$  is: “pick some event from the ones currently not running (it must exist at least one not running) and start it; in the new configuration of the system the formula  $\varphi$  must hold”. The intuitive reading of  $\langle \rangle\varphi$  is: “pick some event from the ones currently running concurrently (it must exist one running) and terminate it; in the new configuration of the system the formula  $\varphi$  must hold”. This intuition is formalized in the semantics of *HDML*.

The choice of our notation is biased by the intuitive usage of these modalities where the terminate modality talks about what happens after some event is terminated; in this respect being similar to the standard diamond modality of dynamic logic. Later, in Section 4, these modalities are decorated with labels, in the style of dynamic logic. The start modality talks about what happens during some event is performed and hence we adopt the notation of Pratt [Pra78].

The models of *HDML* are higher dimensional structures together with a valuation function  $\mathcal{V} : Q \rightarrow 2^{\Phi_B}$  which associates a set of atomic propositions to each cell (of any dimension). This means that  $\mathcal{V}$  assigns some propositions to each state of dimension 0, to each transition of dimension 1, to each square of dimension 2, to each cube of dimension 3, etc. Denote a model of *HDML* by  $\mathcal{H} = (Q, s, t, l, \mathcal{V})$ . A *HDML* formula is evaluated in a cell of such a model  $\mathcal{H}$ .

One may see the *HDML* models as divided in *levels*, each level increasing the concurrency complexity of the system; i.e., level  $Q_n$  increases the complexity compared to level  $Q_{n-1}$  by adding one more event (to have  $n$

$\mathcal{H}, q \models \phi$	iff $\phi \in \mathcal{V}(q)$ .
$\mathcal{H}, q \not\models \perp$	
$\mathcal{H}, q \models \varphi_1 \rightarrow \varphi_2$	iff when $\mathcal{H}, q \models \varphi_1$ then $\mathcal{H}, q \models \varphi_2$ .
$\mathcal{H}, q \models \{\}\varphi$	iff assuming $q \in Q_n$ for some $n$ , $\exists q' \in Q_{n+1}$ s.t. $s_i(q') = q$ for some $1 \leq i \leq n$ , and $\mathcal{H}, q' \models \varphi$ .
$\mathcal{H}, q \models \langle \rangle \varphi$	iff assuming $q \in Q_n$ for some $n$ , $\exists q' \in Q_{n-1}$ s.t. $t_i(q) = q'$ for some $1 \leq i \leq n$ , and $\mathcal{H}, q' \models \varphi$ .

Table 1: Semantics for *HDML*.

events executing concurrently instead of  $n - 1$ ). The levels are linked together through the  $s_i$  and  $t_i$  maps. With this view in mind the start and terminate modalities should be understood as jumping from one level to the other; the  $\{\}$  modality jumps one level up, whereas the  $\langle \rangle$  modality jumps one level down.

**Definition 2.3 (satisfiability)** *Table 1 defines recursively the satisfaction relation  $\models$  of a formula  $\varphi$  w.r.t. a model  $\mathcal{H}$  in a particular  $n$ -cell  $q$  (for some arbitrary  $n$ ); denote this as  $\mathcal{H}, q \models \varphi$ . The notions of satisfiability and validity are defined as usual.*

Both modalities have an existential flavor. In particular note that  $\mathcal{H}, q_0 \not\models \langle \rangle \varphi$ , for  $q_0 \in Q_0$  a state, because there is no event executing in a state, and thus no event can be terminated. Similarly, for the start modality,  $\mathcal{H}, q_n \not\models \{\}\varphi$  for any  $n$ -cell  $q_n \in Q_n$  when all sets  $Q_k$ , with  $n < k$ , are empty (i.e., the family of sets  $Q$  is bounded by  $n$ ). This says that there can be at most  $n$  events running at the same time, and when reaching this limit one cannot start another event and therefore  $\{\}\varphi$  cannot be satisfied.

The universal correspondents of  $\{\}$  and  $\langle \rangle$  are defined in the standard modal logic style. We denote these modalities by respectively  $\llbracket \rrbracket \varphi$  and  $\llbracket \rrbracket \varphi$ . The intuitive reading of  $\llbracket \rrbracket \varphi$  is: “pick any of the events currently running concurrently and after terminating it,  $\varphi$  must hold in the new configuration of the system”. Note that this modality holds trivially for any state  $q_0 \in Q_0$ , i.e.,  $\mathcal{H}, q_0 \models \llbracket \rrbracket \varphi$ .



## 2.1 Decidability and completeness

In the rest of this section we prove that satisfiability for *HDML* is decidable using the filtration technique [BdRV01]. Then we give an axiomatic system for *HDML* and prove its soundness and completeness. Completeness is based on constructing canonical models.

The purpose of proving these results is not only to show that *HDML* enjoys such properties as decidability and completeness, but also to stress that well established techniques from modal logic, like filtration and canonical model construction, are applicable to *HDML* only with natural adaptations and only few specific definitions.

The filtration for the states is the same as in the standard modal logic, but for cells of dimension at least 1 we need to take care that the maps  $t$  and  $s$  in the filtration model remain maps and that they respect the cubical laws so that the filtration is still a *HDML* model. This can be done, but the filtration model is bigger than what is obtained in the case of standard modal logic. On top, the proof of the small model property (Theorem 2.13) is more involved due to the complexities of the definition of filtration given in Definition 2.6.

**Definition 2.4 (subformula closure)** *The subformula closure of a formula  $\varphi$  is the set of formulas  $\mathcal{C}(\varphi)$  defined recursively as:*

$$\begin{aligned} \mathcal{C}(\phi) &\triangleq \{\phi\}, \text{ for } \phi \in \Phi_B \\ \mathcal{C}(\varphi_1 \rightarrow \varphi_2) &\triangleq \{\varphi_1 \rightarrow \varphi_2\} \cup \mathcal{C}(\varphi_1) \cup \mathcal{C}(\varphi_2) \\ \mathcal{C}(\{\}\varphi) &\triangleq \{\{\}\varphi\} \cup \mathcal{C}(\varphi) \\ \mathcal{C}(\langle\rangle\varphi) &\triangleq \{\langle\rangle\varphi\} \cup \mathcal{C}(\varphi) \end{aligned}$$

The *size* of a formula (denoted  $|\varphi|$ ) is calculated by summing the number of Boolean and modal symbols with the number of atomic propositions and  $\perp$  symbols that appear in the formula. (All instances of a symbol are counted.)

**Proposition 2.5 (size of the closure)** *The size of the subformula closure of a formula  $\varphi$  is linear in the size of the formula; i.e.,  $|\mathcal{C}(\varphi)| \leq |\varphi|$ .*

**Proof:** The proof is easy, using structural induction and observing that for the atomic formulas the size of the closure is exactly 1, the size of the formula. For a compound formula like  $\{\}\varphi$  the induction hypothesis says that  $|\mathcal{C}(\varphi)| \leq |\varphi|$  which means  $1 + |\mathcal{C}(\varphi)| \leq 1 + |\varphi|$ .  $\square$

**Definition 2.6 (filtration)** Given a formula  $\varphi$ , we define below a relation  $\equiv$  (which is an equivalence relation) over the cells of a higher dimensional structure  $\mathcal{H}$ , where  $q, q' \in Q_i$ , for some  $i \in \mathbb{N}$ :

$$q \equiv q' \text{ iff for any } \psi \in \mathcal{C}(\varphi) \text{ then } (\mathcal{H}, q \models \psi \text{ iff } \mathcal{H}, q' \models \psi).$$

A filtration model  $\mathcal{H}^f$  of  $\mathcal{H}$  through the closure set  $\mathcal{C}(\varphi)$  is a structure  $(Q^f, s^f, t^f, l^f, \mathcal{V}^f)$  with:

$$\begin{aligned} Q_n^f &\triangleq \{[q_n] \mid q_n \in Q_n\}, \text{ where } [q_n] \text{ is} \\ [q_0] &\triangleq \{q' \mid q_0 \equiv q'\} \text{ when } q_0 \in Q_0, \text{ otherwise,} \\ [q_n] &\triangleq \{q' \mid q_n \equiv q' \wedge t_i(q') \in [p_i] \wedge s_i(q') \in [p'_i] \\ &\quad \text{for all } 1 \leq i \leq n \text{ and} \\ &\quad \text{for some fixed } [p_i], [p'_i] \in Q_{n-1}^f\}. \\ s_i^f([q_n]) &\triangleq [q_{n-1}] \text{ iff for all } p \in [q_n], s_i(p) \in [q_{n-1}]. \\ t_i^f([q_n]) &\triangleq [q_{n-1}] \text{ iff for all } p \in [q_n], t_i(p) \in [q_{n-1}]. \\ \mathcal{V}^f([q]) &\triangleq \mathcal{V}(q). \end{aligned}$$

**Lemma 2.7** Any two sets  $[p], [q] \in Q_n^f$ , for some  $n \in \mathbb{N}$ , are disjoint.

**Proof:** We use induction on  $n$ .

The base case for  $n = 0$  is easy as the definition of  $Q_0^f$  results in the equivalence classes on  $Q_0$  generated by the equivalence relation  $\equiv$ , which are disjoint.

*Inductive step:* Consider  $[p], [q] \in Q_n^f$ , for which we assume that  $\exists r \in Q_n$  with  $r \in [p]$  and  $r \in [q]$ . From the definition we get (1)  $q \equiv r \equiv p$  and, (2) for any  $1 \leq i \leq n$  and some fixed  $[p'_i], [q'_i] \in Q_{n-1}^f$ ,  $t_i(r) \in [p'_i]$  and  $t_i(r) \in [q'_i]$ . By the induction hypothesis we know that  $[p'_i]$  and  $[q'_i]$  are disjoint, which, together with (2) before, implies that  $[p'_i] = [q'_i]$  for all  $1 \leq i \leq n$ . Because of this and (1) it implies that  $[q] = [p]$ . Therefore we have proven that if two sets  $[p], [q] \in Q_n^f$  have a cell in common then they must be the same. (Note that a similar treatment of  $s_i$  is needed.)  $\square$

**Lemma 2.8**

1. The definitions of  $s_i^f$  and  $t_i^f$  are that of maps (as required in a higher dimensional structure).
2. The  $s_i^f$  and  $t_i^f$  respect the cubical laws of a higher dimensional structure.

**Proof:** For 1. we give the proof only for  $t_i^f$ , as the proof for  $s_i^f$  is analogous. We use *reductio ad absurdum* and assume, for some  $[q] \in Q_n^f$ , that  $t_i^f([q]) = [p]$  and  $t_i^f([q]) = [p']$  with  $[p] \neq [p']$  and  $[p], [p'] \in Q_{n-1}^f$ . From the definition we have that for all  $q \in [q]$  both  $t_i(q) \in [p]$  and  $t_i(q) \in [p']$ . From Lemma 2.7 we know that  $[p]$  and  $[p']$  are disjoint and we know that  $t_i$  is a map (i.e., the outcome is unique), therefore we have the contradiction.

For 2. we have to prove, for some arbitrary chosen  $[q] \in Q_n^f$  and for any  $1 \leq i < j \leq n$  that

$$t_i^f(t_j^f([q])) = t_{j-1}^f(t_i^f([q])).$$

(Note that  $t_i^f$  on the left side is different than the  $t_i^f$  on the right side, as the left one is applied to elements of  $Q_{n-1}^f$  whereas the right one is applied to elements of  $Q_n^f$ .) The other three kinds of cubical laws are treated analogous only that one needs to reason with the  $s_i$  maps too.

Assume, wlog. because the opposite assumption would follow analogous reasoning, that  $t_i^f(t_j^f([q])) = [q_{n-2}]$  with  $[q_{n-2}] \in Q_{n-2}^f$ . This leads to considering that  $t_j^f([q]) = [q_{n-1}]$  with  $[q_{n-1}] \in Q_{n-1}^f$ , and  $t_i^f([q_{n-1}]) = [q_{n-2}]$ . From the definition we have both:

- (1)  $\forall q \in [q] : t_j(q) \in [q_{n-1}]$ ,
- (2)  $\forall q \in [q_{n-1}] : t_i(q) \in [q_{n-2}]$ .

Therefore, from the two we have that

- (3)  $\forall q \in [q] : t_i(t_j(q)) \in [q_{n-2}]$ .

We want to prove that  $[q_{n-2}] = t_{j-1}^f(t_i^f([q]))$ , for which we can assume, wlog., that  $t_i^f([q]) = [q'_{n-1}]$  for some  $[q'_{n-1}] \in Q_{n-1}^f$ . Therefore, it amounts to proving that  $t_{j-1}^f([q'_{n-1}]) = [q_{n-2}]$ . For this it is enough to find some  $p \in [q'_{n-1}]$  s.t.  $t_{j-1}(p) \in [q_{n-2}]$ , because by the Definition 2.6 (of the  $t_i$  maps) it means that  $\forall p \in [q'_{n-1}]$  it holds that  $t_{j-1}(p) \in [q_{n-2}]$ , i.e., our desired result.

From the assumption we have that  $\forall q \in [q] : t_i(q) \in [q'_{n-1}]$ . Pick one of these  $t_i(q)$  and claim this to be the  $p \in [q'_{n-1}]$  we are looking for. From the cubical laws for the initial  $\mathcal{H}$  model we know that for any  $q \in [q]$ ,  $t_i(t_j(q)) = t_{j-1}(t_i(q)) = t_{j-1}(p)$ . Because of (3) we have that  $t_{j-1}(p) \in [q_{n-2}]$ , and thus our claim is proven; i.e.,  $t_{j-1}$  applied to the element  $t_i(q)$  that we picked from  $[q'_{n-1}]$ , is in  $[q_{n-2}]$ .  $\square$

**Corollary 2.9 (of Lemma 2.8)** *The filtration  $\mathcal{H}^f$  of a model  $\mathcal{H}$  through a closure set  $\mathcal{C}(\varphi)$  is a higher dimensional structure (i.e., is still a HDML model).*

**Lemma 2.10 (sizes of filtration sets)** *Each set  $Q_n^f$  of the filtration  $\mathcal{H}^f$  obtained in Definition 2.6 has finite size which depends on the size of the*

formula  $\varphi$  used in the filtration; more precisely each  $Q_n^f$  is bounded from above by  $2^{|\varphi| \cdot N}$  where  $N = n! \cdot \sum_{k=0}^n \frac{2^k}{(n-k)!}$ .

**Proof:** The case for 0 is simple as the number of equivalence classes of  $Q_0$  can be maximum the number of subsets of the subformula closure  $\mathcal{C}(\varphi)$  which is  $2^{|\varphi|}$ , cf. Proposition 2.5.

The case for  $n = 1$  is based on the size of  $Q_0^f$ . Each of the  $2^{|\varphi|}$  equivalence classes in which  $Q_1^f$  can be divided may have infinitely many cells. Consider one such set  $[q_1]$ ; this can still be broken into smaller subsets depending on the maps  $t_1$  and  $s_1$ . Because  $t_1$  can have outcome in any of the sets  $[q_0] \in Q_0^f$ , we get a first split of  $[q_1]$  into  $2^{|\varphi|}$  subdivisions. For each of these we can still split it into  $2^{|\varphi|}$  more subdivisions because of  $s_1$ . We thus get a maximum of  $2^{|\varphi|} \cdot (2^{|\varphi|})^{2-1}$ .

For the general case of  $n$  we need to consider all maps  $t_i, s_i$ , that means  $2 \cdot n$  maps. For each of these maps we split the  $2^{|\varphi|}$  possible initial equivalence classes according to the size of  $O_{n-1}^f$ . Thus we get a maximum of  $2^{|\varphi|} \cdot (|Q_{n-1}^f|)^{2 \cdot n}$  subdivisions. Calculating this series gives the bound on the size of  $Q_n^f$  as being  $2^{|\varphi| \cdot N}$  where  $N = n! \cdot \sum_{k=0}^n \frac{2^k}{(n-k)!}$ .  $\square$

As a side remark, the size of  $O_n^f$  is more than double exponential in the dimension  $n$ , but is less than triple exponential. More precisely, for  $N$ , the sum is bounded from above by  $n \cdot 2^n$  which makes  $N$  the order of  $n! \cdot n \cdot 2^n$ . We know that  $n!$  grows faster than exponential, but not too fast; more precisely, using Stirling's approximation of  $n!$  we have that  $\lg(n!) = \Theta(n \cdot \lg(n))$  making  $n! \cdot n \cdot 2^n = n \cdot 2^{n \lg(n!)} = n \cdot 2^{\Theta(n^2 \cdot \lg(n))}$ . Therefore,  $|O_n^f|$  is bounded by  $2^{n \cdot 2^{\Theta(n^2 \cdot \lg(n))}}$  (where we consider  $|\varphi|$  to be a constant, and hence, not contributing to the bound).

**Lemma 2.11 (filtration lemma)** *Let  $\mathcal{H}^f$  be the filtration of  $\mathcal{H}$  through the closure set  $\mathcal{C}(\varphi)$ , as in Definition 2.6. For any formula  $\psi \in \mathcal{C}(\varphi)$  and any cell  $q \in \mathcal{H}$ , we have  $\mathcal{H}, q \models \psi$  iff  $\mathcal{H}^f, [q] \models \psi$ .*

**Proof:** We use induction on the structure of  $\psi$ .

*Base case:* For  $\psi = \phi$  is immediate from the definition of  $\mathcal{V}^f$ .

*Inductive step:* The case for  $\rightarrow$  is straightforward making use of the induction hypothesis because the set  $\mathcal{C}(\varphi)$  is closed under subformulas.

Take now  $\psi = \langle \rangle \psi'$  and we prove that  $\mathcal{H}, q \models \langle \rangle \psi'$  iff  $\mathcal{H}^f, [q] \models \langle \rangle \psi'$ . Considering the *only if* implication we assume that (cf. definition of satisfiability from Table 1)  $\exists q' \in Q_{n-1} : t_i(q) = q' \wedge q' \models \psi'$  for some  $1 \leq i \leq n$ , and

have to prove that  $\exists [p] \in Q_{n-1}^f : t_i^f([q]) = [p] \wedge [p] \models \psi'$  for some  $1 \leq i \leq n$ . Because  $q \in [q]$  and  $t_i(q) = q'$ , using the definition of  $[q]$  it implies that for all  $q \in [q]$  is that  $t_i(q) \in [q']$  which, by the definition of  $t_i^f$ , implies that  $t_i^f([q]) = [q']$ . (Thus we have found the  $[q'] \in Q_{n-1}^f$ .) From the induction hypothesis we have that  $\mathcal{H}, q' \models \psi'$  implies that  $\mathcal{H}^f, [q'] \models \psi'$ . This ends the proof.

Consider now the *if* implication and assume  $\exists [p] \in Q_{n-1}^f : t_i^f([q]) = [p] \wedge [p] \models \psi'$  for some  $1 \leq i \leq n$ . From the definition of  $t_i^f$  we have that  $t_i(q) \in [p]$ ; which is the same as picking some  $p' \in [p]$  with  $t_i(q) = p'$ . From the induction hypothesis we know that  $\mathcal{H}^f, [p] \models \psi'$  iff  $\mathcal{H}, p \models \psi'$  for any  $p \in [p]$  (in particular  $\mathcal{H}, p' \models \psi'$ ). Thus  $\exists p' \in Q_{n-1} : t_i(q) = p' \wedge \mathcal{H}, p' \models \psi'$  for some  $1 \leq i \leq n$ , finishing the proof.

When we take  $\psi = \{\}\psi'$  we use analogous arguments as in the proof of  $\langle\rangle\psi'$ . In this case we work with the definition of  $s_i^f$  and we look for cells of higher dimension (instead of lower dimension).  $\square$

We define two *degrees of concurrency* of a formula  $\varphi$ : the *upwards concurrency* (denoted  $|\varphi|_{uc}$ ) and *downwards concurrency* (denoted  $|\varphi|_{dc}$ ). The degree of upwards concurrency counts the maximum number of nestings of the start modality  $\{\}$  that are not compensated by a  $\langle\rangle$  modality. (E.g., the formula  $\{\}\{\}\phi \vee \{\}\phi'$  has the degree of upwards concurrency equal to 2, the same as  $\{\}\langle\rangle\{\}\{\}\phi$ .) The formal definition of  $|\varphi|_{uc}$  is:

$$\begin{aligned} |\phi|_{uc} &\triangleq 0, \text{ for } \phi \in \Phi_B \\ |\perp|_{uc} &\triangleq 0 \\ |\varphi_1 \rightarrow \varphi_2|_{uc} &\triangleq \max(|\varphi_1|_{uc}, |\varphi_2|_{uc}) \\ |\{\}\varphi|_{uc} &\triangleq 1 + |\varphi|_{uc} \\ |\langle\rangle\varphi|_{uc} &\triangleq \max(0, |\varphi|_{uc} - 1) \end{aligned}$$

The definition of the degree of downwards concurrency  $|\varphi|_{dc}$  is symmetric to the one above in the two modalities; i.e., interchange the modalities in the last two lines. Note that  $|\varphi|_{uc} + |\varphi|_{dc} \leq |\varphi|$ . The next result offers a safe reduction of a model where we remove all cells which have degree greater than some constant depending on the formula of interest.

**Lemma 2.12 (concurrency boundedness)** *If a HDML formula  $\varphi$  is satisfiable,  $\mathcal{H}, q \models \varphi$  with  $q \in Q_k$ , then it exists a model with all the sets  $Q_m$ , with  $m > |\varphi|_{uc} + k$ , empty, which satisfies the formula.*

**Proof:** We use induction on the structure of the formula  $\varphi$ .

*Base case:* For  $\phi \in \Phi_B$  and  $\perp$  the evaluation is in the same cell  $q$  and thus all the cells of dimension higher than  $k$  are not important and can be

empty.

*Inductive step:* For  $\varphi_1 \rightarrow \varphi_2$  the semantics says that whenever  $\mathcal{H}, q \models \varphi_1$  then  $\mathcal{H}, q \models \varphi_2$ . From the induction hypothesis we have that all cells of dimension greater than  $k + |\varphi_1|_{uc}$  (respectively  $k + |\varphi_2|_{uc}$ ) are not important for checking  $\varphi_1$  (respectively  $\varphi_2$ ). Thus it is a safe approximation to consider all the cells of at most dimension  $\max(k + |\varphi_1|_{uc}, k + |\varphi_2|_{uc}) = k + |\varphi_1 \rightarrow \varphi_2|_{uc}$  and all sets  $Q_m$  of greater dimension can be empty.

For  $\{\}\varphi$  the semantics says that we need to check the formula  $\varphi$  in cells of dimension one greater, i.e.,  $q_{k+1} \models \varphi$ . From the induction hypothesis we know that for checking  $q_{k+1} \models \varphi$  it is enough to have only cells of most dimension  $k + 1 + |\varphi|_{uc} = k + |\{\}\varphi|_{uc}$  (where all other cells can be removed).

For  $\langle\rangle\varphi$  the semantics says that we need to check  $q_{k-1} \models \varphi$ , that is, in cells of immediately lower dimension. By the induction hypothesis this means that it is enough to consider only cells of at most dimension  $k - 1 + |\varphi|_{uc}$  for which  $k + |\varphi|_{uc}$  is a safe approximation.  $\square$

**Notation:** The formula  $\langle\rangle\phi \wedge \langle\rangle\neg\phi$  expresses that there can be terminated at least two different events (in other words, the cell in which the formula is evaluated to true has dimension at least two). Similarly the formula  $\langle\rangle(\phi \wedge \neg\phi') \wedge \langle\rangle(\neg\phi \wedge \neg\phi') \wedge \langle\rangle(\neg\phi \wedge \phi')$  says that there are at least three events that can be terminated. For each  $i \in \mathbb{N}$  one can write such a formula to say that there are at least  $i$  events that can be terminated. Denote such a formula by  $\langle\rangle^i$ . Also define  $\langle\rangle^i\varphi$  as  $i$  applications of the  $\langle\rangle$  modality to  $\varphi$  (i.e.,  $\langle\rangle \dots \langle\rangle\varphi$  where  $\langle\rangle$  appears  $i$  times). Similar, for the start modality denote  $\{\}^i$  the formula that can start  $i$  different events, and by  $\{\}^i\varphi$  the  $i$  applications of  $\{\}$  to  $\varphi$ .

**Theorem 2.13 (small model property)** *If a HDML formula  $\varphi$  is satisfiable then it is satisfiable on a finite model with no more than  $\sum_{n=0}^{|\varphi|} 2^{|\varphi| \cdot N}$  cells where  $N = n! \cdot \sum_{k=0}^n \frac{2^k}{(n-k)!}$ .*

**Proof:** Note first that it is easy to prove that any formula  $\langle\rangle^i \rightarrow \langle\rangle^i \top$  is valid, for any  $i \in \mathbb{N}$ . Because of this, the downwards concurrency measure for a formula may be misleading as  $|\langle\rangle^i|_{dc} = 1$  whereas  $|\langle\rangle^i \top|_{dc} = i$ . On the other hand the dimension  $|\langle\rangle^i|$  grows faster than linear with  $i$ . It is easy to see that  $|\langle\rangle^i| > |\langle\rangle^i \top|_{dc}$ . If our formula  $\varphi$  has subformulas of the kind  $|\langle\rangle^i|_{dc}$  then the measure  $|\varphi|_{dc}$  must be adjusted accordingly. In any case, it is clear that even after adjustment  $|\varphi|_{dc} < |\varphi| - |\varphi|_{uc}$ .

Assume that there exists a model  $\mathcal{H}$  and a cell  $q \in Q_l$  in this model for which  $\mathcal{H}, q \models \varphi$ . We can prove, analogous to the proof of Lemma 2.12,

that for a formula  $\varphi$  one needs to look at cells of dimension at least  $|\varphi|_{dc}$ . A more coarse approximation is to say that one needs all the sets  $Q_n$  with  $n < |\varphi| - |\varphi|_{uc}$ . Thus, we can safely assume  $l < |\varphi| - |\varphi|_{uc}$ .

From Lemma 2.12 we know that we need to consider only the sets  $Q_m$  for  $m \leq l + |\varphi|_{uc} = |\varphi|$ , and all other sets  $Q$  are empty. From Lemma 2.11 we know that we can build a filtration model  $\mathcal{H}^f$  s.t. the formula  $\varphi$  is still satisfiable and, by Lemma 2.10, we know that all the sets  $Q_m^f$  have a finite number of cells. Thus we can sum up all the cells in all the  $Q_m^f$ , with  $m \leq |\varphi|$ .  $\square$

**Corollary 2.14 (decidability)** *Deciding the satisfiability of a HDML formula is done in space  $O(2^{2^{\Theta(|\varphi| \cdot lg(|\varphi|))}})$ .*

**Proof:** The corollary follows from Theorem 2.13 by simple calculations.  $\square$

In the following we go on giving an axiomatic system for *HDML* and prove it sound and complete.

In Table 2 we give a set of axioms and rules of deduction for *HDML*. If a formula is *deducible* in this axiomatic system we write  $\vdash \varphi$ . We say that a formula  $\varphi$  is deducible from a set of formulas  $S$  iff  $\vdash \psi_1 \wedge \dots \wedge \psi_n \rightarrow \varphi$  where  $\psi_1, \dots, \psi_n \in S$  are some formulas in  $S$  (we write equivalently  $S \vdash \varphi$ ). A set of formulas  $S$  is said to be *consistent* if  $S \not\vdash \perp$ , otherwise it is said to be *inconsistent*. We say that  $S$  is *maximal consistent* iff all sets  $S'$ , with  $S \subset S'$ , are inconsistent.

**Proposition 2.15 (theorems)** *The following are derivable in the axiomatic system of Table 2:*

$$\begin{aligned}
&\vdash \{\}(\varphi \rightarrow \varphi') \rightarrow (\{\}\varphi \rightarrow \{\}\varphi'), \\
&\vdash \langle \rangle(\varphi \rightarrow \varphi') \rightarrow (\langle \rangle\varphi \rightarrow \langle \rangle\varphi'), \\
&\vdash (\langle \rangle[\ ]\varphi \wedge \langle \rangle[\ ]\neg\varphi) \rightarrow \perp, \\
&\vdash \langle \rangle[\ ]\perp \rightarrow [\ ]\perp, \\
&\vdash \{\}\top \rightarrow [\ ]\{\}\top, \\
&\vdash \langle \rangle\top \rightarrow (\{\}[\ ]\varphi \rightarrow \langle \rangle\{\}\varphi), \\
&\vdash \{\}\top \rightarrow (\langle \rangle[\ ]\varphi \rightarrow \{\}\langle \rangle\varphi), \\
&\vdash [\ ][\ ]\varphi \rightarrow [\ ]\langle \rangle\varphi, \\
&\vdash \{\}\langle \rangle\top \rightarrow \langle \rangle\top.
\end{aligned}$$

Moreover, one can use the following derived rules:

**Axiom schemes:**

- (A1) All instances of propositional tautologies.  
(A2)  $\{\}\perp \leftrightarrow \perp$   
(A2')  $\langle\rangle\perp \leftrightarrow \perp$   
(A3)  $\{\}(\varphi \vee \varphi') \leftrightarrow \{\}\varphi \vee \{\}\varphi'$   
(A3')  $\langle\rangle(\varphi \vee \varphi') \leftrightarrow \langle\rangle\varphi \vee \langle\rangle\varphi'$   
(A4)  $\{\}\varphi \leftrightarrow \neg\{\}\neg\varphi$   
(A4')  $[\]\varphi \leftrightarrow \neg\langle\rangle\neg\varphi$   
(A5)  $\langle\rangle^i \rightarrow \langle\rangle^{i+1}$  for all  $i \in \mathbb{N}$   
(A5')  $\{\}^{i+1} \rightarrow \{\}^i$  for all  $i \in \mathbb{N}$   
(A6)  $\langle\rangle[\]\varphi \rightarrow [\]\langle\rangle\varphi$   
(A6')  $\{\}\{\}\varphi \rightarrow \{\}\{\}\varphi$   
(A7)  $\{\}[\]\varphi \rightarrow [\]\{\}\varphi$   
(A7')  $\langle\rangle\{\}\varphi \rightarrow \{\}\langle\rangle\varphi$   
(A8)  $\{\}\langle\rangle^i \rightarrow \{\}\langle\rangle^{i+1}$  for all  $i \in \mathbb{N}$   
(A8')  $\langle\rangle\langle\rangle^i \rightarrow [\]\langle\rangle^i$  for all  $i \in \mathbb{N}$   
(A9)  $\langle\rangle^i \rightarrow \{\}\langle\rangle^i$  for all  $i \in \mathbb{N}$   
(A9')  $\{\}\langle\rangle^i \rightarrow \langle\rangle^i$  for all  $i \in \mathbb{N}$

**Inference rules:**

- (R1) 
$$\frac{\varphi \quad \varphi \rightarrow \varphi'}{\varphi'} \quad (\text{MP})$$
  
(R2) 
$$\frac{\varphi \rightarrow \varphi'}{\{\}\varphi \rightarrow \{\}\varphi'} \quad (\text{D})$$
  
(R2') 
$$\frac{\varphi \rightarrow \varphi'}{\langle\rangle\varphi \rightarrow \langle\rangle\varphi'} \quad (\text{D}')$$
  
(R3) Uniform substitution.

Table 2: Axiomatic system for *HDML*.

$$\frac{\frac{\varphi}{\{\}\varphi}, \quad \frac{\varphi \rightarrow \varphi'}{\{\}\varphi \rightarrow \{\}\varphi'}}{\frac{\varphi}{[\]\varphi}, \quad \frac{\varphi \rightarrow \varphi'}{[\]\varphi \rightarrow [\]\varphi'}}.$$

**Proof:** The first two theorems are derivable as in standard modal logic only using the standard axioms (A2)-(A3'). The derived rules are also as in standard modal logic. The third theorem is a consequence of (A6):  $\langle\rangle[\]\varphi \wedge$



$\langle \rangle [] \neg \varphi \xrightarrow{(A6)} [] \langle \rangle \varphi \wedge \langle \rangle [] \neg \varphi \xrightarrow{SML} \langle \rangle (\langle \rangle \varphi \wedge [] \neg \varphi) \xrightarrow{SML} \langle \rangle \langle \rangle (\varphi \wedge \neg \varphi) \xrightarrow{(A2')} \perp$ . The fourth theorem is a consequence of (A7'):  $\langle \rangle \{\!\{ \} \} \perp \xrightarrow{(A7')} \{\!\{ \} \} \langle \rangle \perp \xrightarrow{(A2')} \{\!\{ \} \} \perp$ . The fifth theorem is a consequence of the theorem before by contraposition. The sixth theorem is a consequence of (A7):  $(\{\!\{ \} \} [] \varphi \xrightarrow{(A7)} [] \{\!\{ \} \} \varphi) \leftrightarrow (\{\!\{ \} \} [] \varphi \wedge \langle \rangle \top \rightarrow [] \{\!\{ \} \} \varphi \wedge \langle \rangle \top)$  but  $[] \{\!\{ \} \} \varphi \wedge \langle \rangle \top \rightarrow \langle \rangle \{\!\{ \} \} \varphi$  which means that  $(\{\!\{ \} \} [] \varphi \wedge \langle \rangle \top \rightarrow \langle \rangle \{\!\{ \} \} \varphi) \leftrightarrow \langle \rangle \top \rightarrow (\{\!\{ \} \} [] \varphi \rightarrow \langle \rangle \{\!\{ \} \} \varphi)$ . The seventh theorem is derivable in an analogous way as the one above only that we use axiom (A7'). The eighth theorem is just the instantiation of axiom (A9) when  $i = 0$  (i.e.,  $\langle \rangle^0 \top \triangleq \top$ ).  $\square$

Before proving the soundness of the axiomatic system we should have some intuition about the non-standard axioms (A5) to (A9). There are the four axioms (A6) to (A7') that each relates to one of the four cubical laws. Axiom (A6) embodies the cubical law  $t_i(t_j(q)) = t_{j-1}(t_i(q))$  (i.e., the cubical law where  $\alpha$  is instantiated to  $t$  and  $\beta$  to  $t$ ). Axiom (A6') embodies the cubical law where  $\alpha$  and  $\beta$  are both instantiated to  $s$  (i.e.,  $s_i(s_j(q)) = s_{j-1}(s_i(q))$ ). The two axioms (A7) and (A7') relate to the cubical laws where  $\alpha$  and  $\beta$  are instantiated differently, one to  $s$  and the other to  $t$ ; e.g.,  $s_i(t_j(q)) = t_{j-1}(s_i(q))$ .

The other axioms talk about the dimensions of the cells and about the division of the cells into layers  $Q_n$ .

Axiom (A5)  $\langle \rangle^i \top \rightarrow \langle \rangle^i \top$  says that if in a cell there can be terminated at least  $i$  different events then this means that this cell has dimension at least  $i$  (i.e., one can go  $i$  levels down by  $\langle \rangle^i \top$ ). This is natural because, as we said before, the dimension of a cell is given by the number of events that are currently executing concurrently.

Axiom (A5')  $\{\!\{ \} \}^i \top \rightarrow \{\!\{ \} \}^i \top$  says something of the opposite (it looks at the upper levels instead of at the lower levels like the axiom before). The axiom says that if from the current cell it can move  $i$  levels up (i.e., can reach a cell of dimension  $i$  greater than the current cell) then it must be that from the current cell there can be started at least  $i$  different new events.

Axiom (A9)  $\langle \rangle^i \top \rightarrow \{\!\{ \} \} \langle \rangle^i \top$  has two purposes. In the basic variant (for  $i = 0$  it becomes  $\{\!\{ \} \} \langle \rangle \top$ ) it says that in any cell, however one starts an event then one can also terminate an event. In the general form the axiom says that from some level  $i$  when going one level up (by starting an event) and then one level down (by terminating an event) we always end up on the same level  $i$ ; i.e., we end in a cell of the same dimension like the cell that it started in.

Axioms (A8) and (A8') intuitively say that all the cells on some level have dimension greater than some  $i$ .

**Theorem 2.16 (soundness)** *The axiomatic system of Table 2 is sound. Formally  $\forall \varphi : \vdash \varphi \Rightarrow \models \varphi$ .*

**Proof:** For soundness of the axiomatic system it is enough to prove that the axioms (A5) to (A9') are valid. We start with axiom (A6) and assume  $\mathcal{H}, q_n \models \langle \rangle [] \varphi$  for some  $n$  and  $q_n \in Q_n$ . This means that exists some  $q_{n-1} \in Q_{n-1}$  s.t.  $t_k(q_n) = q_{n-1}$  for some  $1 \leq k \leq n$  with  $\mathcal{H}, q_{n-1} \models [] \varphi$ , and from this it means that for any  $1 \leq l \leq n-1$ ,  $\mathcal{H}, t_l(q_{n-1}) \models \varphi$ . We need to show that  $\mathcal{H}, q \models [] \langle \rangle \varphi$ . This means that for any  $m \neq k$  we have to find a  $1 \leq m' \leq n-1$  s.t.  $\mathcal{H}, t_{m'}(t_m(q)) \models \varphi$ . This is easy by applying the cubical law, considering wlog.  $m < k$ ,  $t_m(t_k(q)) = t_{k-1}(t_m(q))$ . Thus, the  $m' = k-1$  for which trivially  $1 \leq k-1 \leq n-1$ . From the assumption we showed that we have  $\mathcal{H}, t_m(t_k(q)) \models \varphi$  and hence  $\mathcal{H}, t_{k-1}(t_m(q)) \models \varphi$ .

For axiom (A6') assume  $\mathcal{H}, q_n \models \{\} \{\} \varphi$  with  $q_n \in Q_n$ . This means that exists  $q_{n+1} \in Q_{n+1}$  and  $1 \leq k \leq n+1$  s.t.  $s_k(q_{n+1}) = q_n$  and  $\mathcal{H}, q_{n+1} \models \{\} \varphi$ . Which means that for any  $q_{n+2}$  with  $s_l(q_{n+2}) = q_{n+1}$  for some  $1 \leq l \leq n+2$ , we have  $\mathcal{H}, q_{n+2} \models \varphi$ . We want to show that  $\mathcal{H}, q \models \{\} \{\} \varphi$  which reduces to showing that for an arbitrary  $q'_{n+1} \in Q_{n+1}$  with  $s_m(q'_{n+1}) = q$  for some  $1 \leq m \leq n+1$ , we can find an  $1 \leq m' \leq n+2$  s.t.  $s_{m'}(q_{n+2}) = q'_{n+1}$ . This is done by the cubical laws: if  $m \leq k$  consider  $s_m(s_{k+1}(q_{n+2})) = s_k(s_m(q_{n+2}))$  and make  $m' = k+1$  (it is clear that  $m' \leq n+2$ ) and thus  $\mathcal{H}, s_m(s_{m'}(q_{n+2})) \models \{\} \{\} \varphi$  because we have said that  $\mathcal{H}, s_k(s_m(q_{n+2})) \models \{\} \{\} \varphi$ ; otherwise, if  $k < m$  then consider the law  $s_k(s_{m+1}(q_{n+2})) = s_m(s_k(q_{n+2}))$  (as  $m+1 \leq n+2$ ) and take  $m' = k$ , thus having  $\mathcal{H}, s_m(s_{m'}(q_{n+2})) \models \{\} \{\} \varphi$  because  $\mathcal{H}, s_k(s_{m+1}(q_{n+2})) \models \{\} \{\} \varphi$ .

For axiom (A7) assume  $\mathcal{H}, q_n \models \{\} [] \varphi$  with  $q_n \in Q_n$ . This means that exists  $q_{n+1} \in Q_{n+1}$  and  $1 \leq k \leq n+1$  s.t.  $s_k(q_{n+1}) = q$  and  $\mathcal{H}, q_{n+1} \models [] \varphi$ . Further, this implies that for any  $1 \leq i \leq n+1$ ,  $\mathcal{H}, t_i(q_{n+1}) \models \varphi$ . We want to prove that  $\mathcal{H}, q \models \{\} \{\} \varphi$  which amounts to showing that for some arbitrary  $1 \leq m \leq n$  with  $t_m(q) = q_{n-1}$  we can find an  $1 \leq l \leq n$  and  $q'_n \in Q_n$  s.t.  $s_l(q'_n) = q_{n-1}$  and  $\mathcal{H}, q'_n \models \varphi$ . This is done with the cubical laws: if  $m < k$  then consider the cubical law  $t_m(s_k(q_{n+1})) = s_{k-1}(t_m(q_{n+1}))$  and set  $l = k-1$  and  $q'_n = t_m(q_{n+1})$  for which we know from above that  $\mathcal{H}, t_m(q_{n+1}) \models \varphi$ ; otherwise if  $k \leq m$  (which also means that  $k \leq n$ ) then consider the cubical law  $s_k(t_{m+1}(q_{n+1})) = t_m(s_k(q_{n+1}))$  and set  $l = k$  and  $q'_n = t_{m+1}(q_{n+1})$  (where  $m+1 \leq n+1$ ) for which we know that  $\mathcal{H}, t_{m+1}(q_{n+1}) \models \varphi$ .

For axiom (A7') assume  $\mathcal{H}, q_n \models \langle \rangle \{\} \varphi$  with  $q_n \in Q_n$ . This means that exists  $1 \leq k \leq n$  and  $q_{n-1}$  s.t.  $t_k(q_n) = q_{n-1}$  and  $\mathcal{H}, q_{n-1} \models \{\} \varphi$ , which means that for any  $q'_n$  with  $s_i(q'_n) = q_{n-1}$  for some  $1 \leq i \leq n$  we have  $\mathcal{H}, q'_n \models \varphi$ . We want to prove that  $\mathcal{H}, q_n \models \{\} \langle \rangle \varphi$  which amounts to showing that for some arbitrary  $q_{n+1}$ , with  $s_m(q_{n+1}) = q_n$  for some  $1 \leq m \leq n+1$ , we can find an  $1 \leq$

$l \leq n + 1$  and a  $q_n''$  s.t.  $t_l(q_{n+1}) = q_n''$  and  $\mathcal{H}, q_n'' \models \varphi$ . We use the cubical laws: if  $k < m$  then consider the cubical law  $t_k(s_m(q_{n+1})) = s_{m-1}(t_k(q_{n+1}))$  and set  $l = k$  and  $q_n'' = t_k(q_{n+1})$  for which we have said before that  $\mathcal{H}, t_l(q_{n+1}) \models \varphi$  because there is the  $s_{m-1}$  that reaches a cell which satisfies  $\llbracket \rrbracket \varphi$ ; otherwise if  $m \leq k$  then consider the cubical law  $s_m(t_{k+1}(q_{n+1})) = t_k(s_m(q_{n+1}))$  and set  $l = k + 1$  and  $q_n'' = t_{k+1}(q_{n+1})$  for which it holds that  $\mathcal{H}, t_l(q_{n+1}) \models \varphi$  because  $\mathcal{H}, s_m(t_l(q_{n+1})) \models \llbracket \rrbracket \varphi$ .

For axiom (A8) assume  $\mathcal{H}, q_n \models \{\} \langle \rangle^i \top$ . This means that exists  $q_{n+1}$  and  $1 \leq j \leq n + 1$  s.t.  $s_j(q_{n+1}) = q_n$  and  $\mathcal{H}, q_{n+1} \models \langle \rangle^i \top$ . This means that the dimension of  $q_{n+1}$  is greater than  $i$ , i.e.,  $n + 1 \geq i$ . We want to prove that  $\mathcal{H}, q_n \models \llbracket \rrbracket \langle \rangle^i \top$  which amount to showing that for any  $q'_{n+1} \in Q_{n+1}$  with  $s_j(q'_{n+1}) = q_n$  for some  $1 \leq j \leq n + 1$  we have  $\mathcal{H}, q'_{n+1} \models \langle \rangle^i \top$ . But we know that the dimension of  $q'_{n+1}$  is at least  $i$ ; this means that we can go down at least  $i$  levels and on the lowest level any cell models  $\top$ . Hence we have  $\mathcal{H}, q'_{n+1} \models \langle \rangle^i \top$ .

For axiom (A8') we use a similar argument based on the semantics of  $\langle \rangle$  and  $\llbracket \rrbracket$  this time.

For axiom (A9) assume  $\mathcal{H}, q_n \models \langle \rangle^i \top$  which means that  $n \geq i$ . Even more,  $\langle \rangle^i \top$  holds in any cell  $q_n \in Q_n$  of dimension  $n$ . We need to prove that  $\mathcal{H}, q_n \models \llbracket \rrbracket \langle \rangle^i \top$ . The proof is trivial when there is no  $q_{n+1}$  with  $s_j(q_{n+1}) = q_n$ . Therefore, we need to prove that for any  $q_{n+1}$  with  $s_j(q_{n+1}) = q_n$ , for some  $1 \leq j \leq n + 1$ ,  $\mathcal{H}, q_{n+1} \models \langle \rangle^i \top$ . Because  $q_{n+1} \in Q_{n+1}$  then it must have at least one  $s$  map that links it with some cell  $q'_n \in Q_n$  on the lower level. In  $q'_n$  the formula  $\langle \rangle^i \top$  holds and thus we finished the proof.

For axiom (A9') assume  $\mathcal{H}, q_n \models \{\} \langle \rangle^i \top$  which means that exists  $q_{n+1} \in Q_{n+1}$  with  $s_j(q_{n+1}) = q_n$  for some  $1 \leq j \leq n + 1$  s.t.  $\mathcal{H}, q_{n+1} \models \langle \rangle^i \top$ . This means that  $n + 1 \geq i + 1$  and thus  $n \geq i$ . Therefore, for any  $q'_n \in Q_n$  the formula  $\langle \rangle^i \top$  holds because we can go at least  $i$  levels down and find any cell satisfying  $\top$ .

Axioms (A5) and (A5') require inductive arguments and we left them at the end. For (A5) use induction on  $i$ , where the base case  $\langle \rangle 1 \rightarrow \langle \rangle^1 \top$  is trivial. Consider that  $\mathcal{H}, q \models \langle \rangle i$  which means that there exist  $i$  different cells  $q^j$  with  $1 \leq j \leq i$  which are the result of the application of a  $t$  map to  $q$ . Because  $t$  is a map it means that there exist at least  $i$  different maps  $t_j$  with  $1 \leq j \leq i$ . Therefore,  $q$  is of dimension at least  $i$  and any  $t_j(q)$  is of dimension at least  $i - 1$ . By the induction hypothesis we have that  $\mathcal{H}, t_j(q) \models \langle \rangle^{i-1} \top$  and therefore  $\mathcal{H}, q \models \langle \rangle \langle \rangle^{i-1} \top$ , i.e.,  $\mathcal{H}, q \models \langle \rangle^i \top$ .

For axiom (A5') we also use induction where the base case for  $\{\}^1 \top \rightarrow \{\} 1$  is trivial. Consider that  $\mathcal{H}, q \models \{\}^i \top$  which means that it exists  $s_j(q') = q$  s.t.  $\mathcal{H}, q' \models \{\}^{i-1} \top$ . By the induction hypothesis we have that  $\mathcal{H}, q' \models \{\}^{i-1} \top$  which means that there are at least  $i - 1$  different  $q''$  with  $s_k(q'') = q'$  for

some  $k$ . Pick one such  $q''$  and note that  $q, q', q''$  enter into a cubical law: if  $k > j$  then  $s_j(s_k(q'')) = q = s_{k-1}(s_j(q''))$ , or if  $k \leq j$  then  $s_k(s_{j+1}(q'')) = q = s_j(s_k(q''))$ . Any of the cases brings a cell different than  $q'$  (either  $s_j(q'')$  or  $s_{j+1}(q'')$ ) which is linked through an  $s$  map to  $q$ . Similarly, all the  $i - 1$  different  $q''$  bring a different cell that links to  $q$  through an  $s$  map. Thus we have shown that  $q$  is linked with  $i$  different cells through one of their  $s$  maps.

For  $i > 2$  we need to make sure that we really have new cells for each  $q''$ . Assume (by *reductio ad absurdum*) that for two different  $q''_k$  and  $q''_l$ , with  $s_k(q''_k) = q' = s_l(q''_l)$  and wlog.  $k > j$  and  $l > j$ , we have  $s_j(q''_k) = s_j(q''_l) = q'_j$ .<sup>2</sup> On another hand, because of the above cubical laws we have  $s_{l-1}(q''_j) = s_{k-1}(q''_j)$  which can be possible only when  $k = l$ . This, together with the fact that both  $q''_k$  and  $q''_l$  are linked with some  $q'''$  by an  $s$  map, we can find them in the following cubical law:  $s_k(s_{k+1}(q''')) = s_k(s_k(q'''))$ . If this is the case then there are some other cubical laws applicable:  $s_j(s_{k+1}(q''')) = q'_j = s_j(s_k(q'''))$ . From this we get  $s_k(s_j(q''')) = s_j(s_{k+1}(q''')) = s_j(s_k(q''')) = s_{k-1}(s_j(q'''))$ . This means that from the cell  $s_j(q''')$  there are two different  $s$  maps (i.e.,  $s_{k-1}$  and  $s_k$ ) that end in the same cell  $q'_j$ . This is not possible and hence we have the contradiction.  $\square$

We fix now some terminology and notation. Denote by  $\neg\mathcal{C}(\varphi) = \mathcal{C}(\varphi) \cup \{\neg\varphi' \mid \varphi' \in \mathcal{C}(\varphi)\}$  the set of subformulas as in Definition 2.4 together with their negated forms. A set of formulas  $A$  is called an *atom* for  $\varphi$  if  $A$  is a maximal consistent subset of  $\neg\mathcal{C}(\varphi)$ . Denote  $At(\varphi)$  the set of all atoms for  $\varphi$ . Atoms are sets of formulas which are free of immediate propositional inconsistencies (like  $\phi \wedge \neg\phi$ ).

**Lemma 2.17 (properties on atoms)** *Standard results for atoms tell us that for some formula  $\varphi$  and any atom  $A \in At(\varphi)$  then we have that:*

- (i). for all  $\psi \in \neg\mathcal{C}(\varphi)$  then exactly one of  $\psi$  or  $\neg\psi$  are in  $A$ ;
- (ii). for all  $\psi \vee \psi' \in \neg\mathcal{C}(\varphi)$  then  $\psi \vee \psi' \in A$  iff  $\psi \in A$  or  $\psi' \in A$ ;
- (iii). for all  $\psi \wedge \psi' \in \neg\mathcal{C}(\varphi)$  then  $\psi \wedge \psi' \in A$  iff both  $\psi \in A$  and  $\psi' \in A$ ;
- (iv). for all  $\psi \rightarrow \psi' \in \neg\mathcal{C}(\varphi)$  then  $\psi \rightarrow \psi' \in A$  iff whenever  $\psi \in A$  then  $\psi' \in A$ ;
- (v). if  $\psi \in \neg\mathcal{C}(\varphi)$  and  $\psi$  is consistent then there exists an  $A \in At(\varphi)$  s.t.  $\psi \in A$ ; (This last result is an analog of Lindenbaum's Lemma.)

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<sup>2</sup>Analogous arguments can be given for the other three cases depending on  $j, k, l$ .

(vi). any consistent set of formulas  $S \subseteq \neg\mathcal{C}(\varphi)$  can be grown to an atom  $A_S \in \text{At}(\varphi)$ .

**Definition 2.18 (canonical saturated HDA)** A HDA is called canonical for the formula  $\varphi$  if a canonical labeling  $\lambda : Q \rightarrow \text{At}(\varphi)$  can be attached to the HDA. A labeling is canonical if the following conditions hold:

1. for any  $q_n \in Q_n, q_{n-1} \in Q_{n-1}$ , for some  $n > 0$ , and  $\forall 0 \leq i \leq n$ , if  $s_i(q_n) = q_{n-1}$  then  $\forall \psi \in \neg\mathcal{C}(\varphi)$  if  $\psi \in \lambda(q_n)$  then  $\{\}\psi \in \lambda(q_{n-1})$ ,
2. for any  $q_n \in Q_n, q_{n-1} \in Q_{n-1}$ , for some  $n > 0$ , and  $\forall 0 \leq i \leq n$ , if  $t_i(q_n) = q_{n-1}$  then  $\forall \psi \in \neg\mathcal{C}(\varphi)$  if  $\psi \in \lambda(q_{n-1})$  then  $\langle \rangle\psi \in \lambda(q_n)$ .

A canonical HDA is called saturated if:

1. whenever  $\{\}\psi \in \lambda(q_{n-1})$  then  $\exists q_n \in Q_n$  and  $\exists 0 \leq i \leq n$  s.t.  $s_i(q_n) = q_{n-1}$  and  $\psi \in \lambda(q_n)$ ,
2. whenever  $\langle \rangle\psi \in \lambda(q_n)$  then  $\exists q_{n-1} \in Q_{n-1}$  and  $\exists 0 \leq i \leq n$  s.t.  $t_i(q_n) = q_{n-1}$  and  $\psi \in \lambda(q_{n-1})$ .

**Lemma 2.19 (truth lemma)** In a canonical saturated HDA  $\mathcal{H}$  with the valuation defined as  $\mathcal{V}(q_n) = \{\phi \in \Phi_B \mid \phi \in \lambda(q_n)\}$ , it holds that  $\mathcal{H}, q_n \models \psi$  iff  $\psi \in \lambda(q_n)$ .

**Proof:** We use induction on the structure of  $\psi$ .

*Base case:*  $\psi = \phi \in \Phi_B$ . From the definition we have  $\mathcal{H}, q_n \models \phi$  iff  $\phi \in \mathcal{V}(q_n)$  iff  $\phi \in \lambda(q_n)$ .

*Inductive step:* The case for the Boolean connectives follows easily from the properties on atoms. Finally we treat cases for the two modalities. Consider the start modality. The left to right direction is based on the canonicity of  $\mathcal{H}$ .

We prove  $\mathcal{H}, q_n \models \{\}\varphi \Rightarrow \{\}\varphi \in \lambda(q_n)$ . From the definition we know that  $\exists q' \in Q_{n+1}$  and  $\exists 0 \leq i \leq n+1$  s.t.  $s_i(q') = q_n$  and  $\mathcal{H}, q' \models \varphi$ . From the induction hypothesis we have that  $\mathcal{H}, q' \models \varphi$  iff  $\varphi \in \lambda(q')$ . Together with the canonicity of  $\mathcal{H}$  we have that  $\{\}\varphi \in \lambda(q_n)$ . Proof finished.

For the right to left direction we use the saturation of  $\mathcal{H}$ . We prove  $\{\}\varphi \in \lambda(q_n) \Rightarrow \mathcal{H}, q_n \models \{\}\varphi$ . Using the saturation of  $\mathcal{H}$  we have that  $\exists q_{n+1} \in Q_{n+1}$  and  $\exists 0 \leq i \leq n+1$  s.t.  $s_i(q_{n+1}) = q_n$  and  $\varphi \in \lambda(q_{n+1})$ . By the induction hypothesis it implies that  $\mathcal{H}, q_{n+1} \models \varphi$ . Thus, by the definition we have that  $\mathcal{H}, q_n \models \{\}\varphi$ .

The proof for the  $\langle \rangle$  modality is symmetric using the second conditions of canonicity and saturation of  $\mathcal{H}$ .  $\square$

To prove completeness of the axiomatic system all we have to do now is to show that for any consistent formula  $\varphi$  we can build such a canonical saturated *HDA*. While building the canonical saturated *HDA* we constantly struggle to saturate the *HDA* (that we work with) while respecting the canonicity. Such not saturated *HDA*s are called *defective*, as they may have defects, which we formally define below. But important is that any of these defects can be repaired. This is what the repair lemma does. The completeness theorem then shows that while starting with a minimal canonical *HDA* we incrementally build a defect free canonical *HDA*.

**Definition 2.20 (defects)** *There are two types of defects for  $\mathcal{H}$  (corresponding to a violation of a saturation condition):*

- a D1 defect of  $\mathcal{H}$  is a cell  $q_n \in Q_n$  with  $\{\}\psi \in \lambda(q_n)$  for which there is no  $q_{n+1} \in Q_{n+1}$  and no  $1 \leq i \leq n+1$ , with  $s_i(q_{n+1}) = q_n$  and  $\psi \in \lambda(q_{n+1})$ ;
- a D2 defect of  $\mathcal{H}$  is a cell  $q_n \in Q_n$  with  $\langle \rangle\psi \in \lambda(q_n)$  for which there is no  $q_{n-1} \in Q_{n-1}$  and no  $1 \leq i \leq n-1$ , with  $t_i(q_n) = q_{n-1}$  and  $\psi \in \lambda(q_{n-1})$ .

For two *HDA*s,  $\mathcal{H}_1$  and  $\mathcal{H}_2$  we say that  $\mathcal{H}_2$  *extends*  $\mathcal{H}_1$  (and write  $\mathcal{H}_2 \triangleright \mathcal{H}_1$ ) iff  $\mathcal{H}_2$  has all the cells and maps of  $\mathcal{H}_1$  and possibly some new cells and maps (i.e., some extra structure).

**Lemma 2.21 (enriching construction)** *For a canonical model  $\mathcal{H}$ , the following construction, which we call enriching of the  $\mathcal{H}$  w.r.t.  $q$  and a formula  $\{\}\varphi \in \lambda(q)$ , builds a model  $\mathcal{H}'$  which is canonical and extends  $\mathcal{H}$  (i.e.,  $\mathcal{H}' \triangleright \mathcal{H}$ ).*

```

function enrich(n,q,φ){
   $Q_{n+1} := Q_{n+1} \cup \{q_{n+1}\}$ ; //fresh cell
  update map  $s_{n+1}$  s.t.  $s_{n+1}(q_{n+1}) = q$ ;
  label  $q_{n+1}$  with  $\lambda(q_{n+1}) = \{\varphi\} \cup \{\psi \mid \llbracket \rrbracket \psi \in \lambda(q)\}$ ;
  addStartMaps(n+1,q_{n+1},0,∅);
  addTermMaps(n+1,q_{n+1},0,∅);
}
function addStartMaps(k,q,m,q'){
  if (k>=1){
     $Q_{k-1} := Q_{k-1} \cup \{q_{k-1}^1, \dots, q_{k-1}^{k-1-m}\}$ ; //fresh cells
    for (l=1 to m){

```

```

    update map  $t_{k-l}$  s.t.  $t_{k-l}(q) = t_{k-m}(t_{k-l+1}(q'))$ ;
  }
  for (i=k-1-m to 1){
    update map  $s_i$  s.t.  $s_i(q) = q_{k-1}^i$ ;
    update map  $s_{k-1}$  s.t.  $s_{k-1}(q_{k-1}^i) = s_i(s_k(q))$ ;
    label  $q_{k-1}^i$  with  $\lambda(q_{k-1}^i) = \lambda(s_k(q))$ ;
    addStartMaps(k-1,  $q_{k-1}^i$ , k-1-m-i, q);
    addTermMaps(k-1,  $q_{k-1}^i$ , 0,  $\emptyset$ );
  }}
function addTermMaps(k, q, m, q') {
  if (k >= 1) {
     $Q_{k-1} := Q_{k-1} \cup \{q_{k-1}^1, \dots, q_{k-1}^{k-m}\}$ ; //fresh cells
    for (l=0 to m-1) {
      update map  $t_{k-l}$  s.t.  $t_{k-l}(q) = t_{k+1-m}(t_{k-l+1}(q'))$ ;
    }
    for (i=k-m to 1) {
      update map  $t_i$  s.t.  $t_i(q) = q_{k-1}^i$ ;
      if (m=0 && i=k)
        label  $q_{k-1}^i$  by  $\lambda(q_{k-1}^i) = \{\psi \mid []\psi \in \lambda(q)\}$ ;
      else label  $q_{k-1}^i$  by  $\lambda(q_{k-1}^i) = \lambda(t_k(q))$ ;
      if (k > 1) {
        addTermMaps(k-1,  $q_{k-1}^i$ , k-m-i, q);
        //add k-1 maps  $s_j$  to  $q_{k-1}^i$  cf. cubical laws
        for (j=1 to k-1) {
          if (j<i) update map  $s_j$  s.t.  $s_j(t_i(q)) = t_{i-1}(s_j(q))$ ;
          else update map  $s_j$  s.t.  $t_i(s_{j+1}(q)) = s_j(t_i(q))$ ;
        }}}}
}

```

For the sake of the presentation, in the algorithm we omitted the fact that after we finish adding all necessary formulas to a label (and before using this label further) we grow the label set to an atom cf. Lemma 2.17(vi). We can do this because we prove that all the labels that we build in the algorithm are consistent sets.

**Proof:** The proof has four stages: 1) we show that the enriched model is an extension of the old model (i.e.,  $\mathcal{H}' \triangleright \mathcal{H}$ ); 2) we show that any set of formulas that the construction attaches as a label to a new cell is a consistent set, so that it can be grown to an atom, as required; 3) we show that the extended model  $\mathcal{H}'$  is sail canonical; 4) we show that  $\mathcal{H}'$  is a model indeed, i.e., that all the maps are in place and all necessary cubical laws are respected. The proof makes use of the axioms (A7), (A7'), and (A9).

First of all remark that we do not change the initial shape or labels of the original  $\mathcal{H}$ ; we only add fresh cells and fresh maps for these cells. This means that the enriched *HDA* extends  $\mathcal{H}$ .

Before proving that the enriched model  $\mathcal{H}'$  is canonical, we should make



sure that we indeed construct only consistent sets as labels. In this way Lemma 2.17(vi) can be applied to grow each set that we construct into an atom. There are only two places where we actually construct sets of formulas as labels; in all other places we just reuse labels of other existing cells which are already atoms.

The first set that we construct is in the enrich function itself. Assume that this set is not consistent, which means two cases: 1)  $\psi_1 \wedge \dots \wedge \psi_k \rightarrow \perp$ , for  $\psi_i \in \lambda(q_{n+1})$  and  $\llbracket \psi_i \rrbracket \in \lambda(q)$  with  $1 \leq i \leq k$ , and 2)  $\psi_1 \wedge \dots \wedge \psi_k \rightarrow \neg\varphi$ , for  $\psi_i \in \lambda(q_{n+1})$ ,  $\llbracket \psi_i \rrbracket \in \lambda(q)$ , and  $\{\varphi\} \in \lambda(q)$ . For case 1) we know from modal logic that  $\llbracket \psi_1 \rrbracket \wedge \dots \wedge \llbracket \psi_k \rrbracket \rightarrow \llbracket (\psi_1 \wedge \dots \wedge \psi_k) \rrbracket$  which, together with the assumption, it means that  $\llbracket \psi_1 \rrbracket \wedge \dots \wedge \llbracket \psi_k \rrbracket \rightarrow \llbracket \perp \rrbracket$ . This means that  $\llbracket \perp \rrbracket \in \lambda(q)$  which is a contradiction with the fact that  $\lambda(q)$  is an atom containing an existential modality, namely,  $\{\varphi\}$ . For case 2) we follow a similar argument to obtain  $\llbracket \psi_1 \rrbracket \wedge \dots \wedge \llbracket \psi_k \rrbracket \rightarrow \llbracket (\psi_1 \wedge \dots \wedge \psi_k) \rrbracket \rightarrow \llbracket \neg\varphi \rrbracket \rightarrow \neg\{\varphi\}$ . But this is a contradiction because the atom  $\lambda(q)$  already contains  $\{\varphi\}$  and by Lemma 2.17(i) it cannot contain  $\neg\{\varphi\}$ .

The second set of formulas that the enriching construction adds is in the addTermMaps. Assume that the set is inconsistent, i.e.,  $\psi_1 \wedge \dots \wedge \psi_k \rightarrow \perp$  for  $\psi_j \in \lambda(q_n^i)$  and  $\llbracket \psi_j \rrbracket \in \lambda(q_{n+1})$  with  $1 \leq j \leq k$ , where  $t_i(q_{n+1}) = q_n^i$ . By arguments similar as before we get  $\llbracket \psi_1 \rrbracket \wedge \dots \wedge \llbracket \psi_k \rrbracket \rightarrow \llbracket (\psi_1 \wedge \dots \wedge \psi_k) \rrbracket \rightarrow \llbracket \perp \rrbracket$  which would mean that  $\llbracket \perp \rrbracket \in \lambda(q_{n+1})$ . But this is not possible because of the axiom (A9) which essentially says that there must be  $\langle \top \rangle \in \lambda(q_{n+1})$ , hence the contradiction. To be more precise, consider our initial cell  $q_n \in Q_n$  of dimension  $n$  and hence  $\mathcal{H}, q_n \models \langle \top \rangle^n$  which by axiom (A9) it means that  $\llbracket \langle \top \rangle^n \rrbracket \in \lambda(q_n)$ . By the construction of the label of  $q_{n+1}$  we have  $\langle \top \rangle^n \in \lambda(q_{n+1})$ . Because of this, we have an existential formula  $\langle \top \rangle$  in the label that contains all the  $\llbracket \psi_j \rrbracket$  at any level, and hence at any depth of recursion calls to addTermMaps. This means that at any recursive call the set of formulas that addTermMaps builds is consistent.

We now prove that the enriched model  $\mathcal{H}'$  is canonical. It is easier to treat the second canonicity condition and we do that now. Assume that for the enriched HDA the *second canonicity condition is broken*; i.e., assume  $t_i(q) = q'$  for which  $\varphi \in \lambda(q')$  and  $\langle \varphi \rangle \notin \lambda(q)$ , which is the same as  $\neg\langle \varphi \rangle \in \lambda(q)$  cf. Lemma 2.17(i) because  $\lambda(q)$  is an atom. We take cases after  $q$ . First, clearly, if  $q, q' \in \mathcal{H}$  then the canonicity is assured by the statement of the lemma (i.e.,  $\mathcal{H}$  is canonical).

The rest is easy if we note that for new cells the only place where  $t_i$  maps are added is in the addTermMaps function which is called in three places: for  $q_{n+1}$ , for cells added as targets for source maps  $s_i$ , and for cells added as targets of termination maps  $t_i$ . For all these cases the fact that  $\neg\langle \varphi \rangle \in \lambda(q)$  implies that  $\llbracket \neg\varphi \rrbracket \in \lambda(q)$ , and the construction of the label of  $q' = t_i(q)$



implies that  $\neg\varphi \in \lambda(q')$  which is impossible because  $\lambda(q')$  is constructed as an atom and by our assumption  $\varphi \in \lambda(q')$ .

Assume now that for the enriched HDA the *first canonicity condition is broken*; i.e., assume it exists  $s_i(q) = q'$  for which  $\varphi \in \lambda(q)$  and  $\{\}\varphi \notin \lambda(q')$ . We now take cases after  $q$ . First, if  $q, q' \in \mathcal{H}$  (i.e., is part of the old model that we have just enriched) then the assumption is violated because these cells respect the canonicity conditions (by the statement of the lemma).

Second, assume  $q = q_{n+1}$  and  $q' = q_n^i$  is one of the cells added by the `addStartMaps(n+1, q_{n+1})`. Each of these cells is labeled by  $\lambda(q_n^i) = \lambda(s_{n+1}(q_{n+1}))$ . By our assumption, this means that  $\{\}\varphi \notin \lambda(q_n)$ , which, because  $\lambda(q_n^i)$  is an atom it means that  $\neg\{\}\varphi \in \lambda(q_n^i)$ . By axiom (A2') it means that  $\{\}\neg\varphi \in \lambda(s_{n+1}(q_{n+1}))$ . This means, by the construction of the label of  $q_{n+1}$ , that  $\neg\varphi \in \lambda(q_{n+1})$ . This is a contradiction as the atom  $\lambda(q_{n+1})$  already has  $\varphi$ .

Third, assume  $q$  is one of the cells added by the `addStartMaps(n+1, q_{n+1})` at some recursion depth; i.e.,  $q = q_k^i$  for some  $0 < k \leq n$  (i.e.,  $q_k^i \notin Q_0 \wedge q_k^i \notin Q_{n+1}$ ) and for some  $1 \leq i \leq k$ . Note that  $s_k(q_k^i) = s_i(s_{k+1}(q_{k+1}^j))$  where  $s_i(q_{k+1}^j) = q_k^i$  and that  $s_i(s_{k+1}(q_{k+1}^j)) \in \mathcal{H}$  and  $s_{k+1}(q_{k+1}^j) \in \mathcal{H}$ . By assumption  $\varphi \in \lambda(q_k^i) = \lambda(s_{k+1}(q_{k+1}^j))$  and  $\{\}\neg\varphi \in \lambda(q_{k-1})$  where  $s_j(q_k^i) = q_{k-1}$  for some  $1 \leq j \leq k$ . But  $\lambda(q_{k-1}) = \lambda(s_i(s_{k+1}(q_{k+1}^j)))$ . Because it is part of the old  $\mathcal{H}$ ,  $s_i(s_{k+1}(q_{k+1}^j))$  respects the canonicity constraints, meaning that  $\neg\varphi \in \lambda(s_{k+1}(q_{k+1}^j))$  which is impossible as the atom  $\lambda(s_{k+1}(q_{k+1}^j))$  already contains  $\varphi$  by assumption.

Lastly, assume  $q$  is one of the cells added by `addTermMaps()`; i.e.,  $q = q_k^i = t_i(q_{k+1})$  for some  $1 \leq i \leq k$  and some recursion depth  $k$ . The initial assumption says that  $s_j(q_k^i) = q'$ , for some  $1 \leq j \leq k$ . Wlog. we assume  $j < i$  and thus we are in the case when  $q' = s_j(t_i(q_{k+1})) = t_{i-1}(s_j(q_{k+1}))$ . This means that there is a source from  $q_{k+1}$  to a cell  $q''$  which has a target to  $q'$ . But the cases above have treated  $q''$  and  $q'$  and their target link respects the canonicity. Because of this and of the assumption  $\{\}\neg\varphi \in \lambda(q')$ , implies  $\langle\rangle\{\}\neg\varphi \in \lambda(q'')$ , call this (\*). From (\*), by axiom (A7') it means that  $\{\}\langle\rangle\neg\varphi \in \lambda(q'')$  (\*\*). Note that `addTermMaps()` builds the same labels  $\lambda(q_k^i)$  for all  $i \leq k$  and by the construction of these labels it means that  $\llbracket\rrbracket\varphi \in \lambda(q_{k+1})$ . From this and `addStartMaps()` we have two cases: (i)  $\{\}\llbracket\rrbracket\varphi \in \lambda(q'')$ , (ii)  $\{\}\llbracket\rrbracket\varphi \in \lambda(q'')$ . From (i) and (\*\*) a simple reasoning gives that  $\{\}\langle\rangle\neg\varphi \wedge \llbracket\rrbracket\varphi \rightarrow \{\}\langle\rangle(\neg\varphi \wedge \varphi) \rightarrow \{\}\perp$  by (A2'). Which means that  $\{\}\perp \in \lambda(q'')$ , and thus  $\perp \in \lambda(q_{n+1})$  which is a contradiction. From (ii) we have by (A7) that  $\llbracket\rrbracket\{\}\varphi \in \lambda(q'')$  and together with (\*) we have  $\langle\rangle(\{\}\varphi \wedge \{\}\neg\varphi) \rightarrow \langle\rangle\{\}\varphi \wedge \neg\varphi \rightarrow \perp$  by axioms (A2) and (A2'). This is a contradiction as  $\perp \notin \lambda(q'')$ .

We should also make sure that we indeed construct a higher dimensional structure. A careful reading of the enriching construction should answer this question in affirmative. We need to make sure that to each new cell we add all the  $s$  and  $t$  maps according to its dimension and that we link these maps correctly according to the cubical laws.

The enriching construction proceeds as follows. It takes our initial cell  $q$  and its dimension  $n$  and the formula that gives the D1 defect. It adds a new cell  $q_{n+1}$  of dimension one greater than  $q$  and links this with  $q$  through the  $s_{n+1}$  map. It labels the new cell s.t. the defect of  $q$  is repaired. The labeling is not important for our current argument but it is used in the argument for canonicity. To have the new cell  $q_{n+1}$  correctly added we need to add  $n$  more  $s$  maps (i.e., the  $s_i$  maps with  $1 \leq i \leq n$ ) and  $n + 1$  more  $t$  maps to it. The  $s$  maps are added by the `addStartMaps` and the  $t$  maps are added by the `addTermMaps`.

Consider now the `addStartMaps` function which take as arguments the cell to which it must add the maps and the dimension of this cell, together with two other arguments used for bookkeeping of the cubical laws that need to be added for each cell. More precisely, the  $m$  argument records how many cubical laws the  $q$  cell enters into. Note that this function (the same as `addTermMaps`) adds maps only if the dimension of the cell is greater than 0, because, by definition, states in a *HDA* have no maps. `addStartMaps` adds only  $k - 1$  maps to its cell argument because one  $s$  map has already been added before (e.g., for  $q_{n+1}$  we have added the map  $s_{n+1}$  and it remains to add the other maps from  $s_1$  to  $s_n$ ). All these maps link to new cells of dimension one lower (i.e., dimension  $k - 1$ ). Actually there are less new cells because some of the  $s$  maps must link to already existing cell so to respect the cubical laws. The  $m$  argument tells how many  $s$  maps should come only from cubical laws and hence, we add only  $k - 1 - m$  new cells. The next loop adds these maps respecting the cubical laws; e.g., for the cell  $q_n^{n-1} = s_{n-1}(q)$  we add the map  $s_{n-1}(q_n^{n-1})$  as the result of  $s_{n-1}(s_n(q))$  (which are cells that have already been added) because of the cubical law  $s_{n-1}(s_n(q)) = s_{n-1}(s_{n-1}(q))$ . In fact, for the cell  $q_n^1$  each of its  $s$  maps links to some existing cell, thus no new cells are added.

Each of the  $k - 1 - m$  new cells are linked with  $q$  by the corresponding  $s_i$  map. It is also added the  $s_{k-1}$  map (i.e., the map with greatest index among the  $k - 1$  maps that the cell needs). This is done so to respect the cubical laws  $s_i(s_k(q)) = s_{k-1}(s_i(q))$ . We now need to recursively add the required  $s$  and  $t$  maps for the new cell. We call the `addStartMaps` for this cell  $q_{k-1}^i$  of dimension  $k - 1$  and, depending on the index  $i$  in the loop, we specify that  $k - 1 - m - i$  maps should be added directly through the cubical laws and not by using new cells. We must also carry along the node  $q$  to which the cubical

laws link. We also add the  $t$  maps for  $q_{k-1}^i$  by calling the `addTermMaps` function.

The function `addTermMaps` adds all the  $t$  maps of the cell (not one less as the `addStartMaps` is doing). `addTermMaps` also tries to respect the cubical laws first, and thus the  $m$  argument tells which maps come only from a cubical law like  $t_i(t_j(q)) = t_{j-1}(t_i(q))$ . For a cell  $q$  of dimension  $k$  `addTermMaps` adds  $k - m$  new cells of dimension  $k - 1$  and links each of these cells through a corresponding  $t_i$  map. For each new cell a recursive call to `addTermMaps` is needed to add all the necessary  $t$  maps. The  $s$  maps of the new cells are added in the end taking care that all the cubical laws of the form  $s_i(t_j(q)) = t_{j-1}(s_i(q))$  are respected. All these  $s$  maps are linked to cells which come from  $t$  maps that have been added by the `addStartMaps` function before.  $\square$

Consider the following construction, which we call *lifting* of the  $\mathcal{H}$  w.r.t.  $q_n$ . This construction lifts all the cells of each level one level up by adding one new  $s$  and  $t$  to each. The cubical laws make sure that these new maps reach only new cells; none of the old cells (that are lifted) are involved in these new instances of the cubical laws. We need to be careful how we label all these new cells s.t. the canonicity is respected for the extended  $\mathcal{H}'$ .

**Lemma 2.22 (lifting construction)** *For a canonical model  $\mathcal{H}$ , the following construction, which we call lifting of the  $\mathcal{H}$  w.r.t.  $q$  and a formula  $\langle \rangle \varphi \in \lambda(q)$ , builds a model  $\mathcal{H}'$  which is canonical and extends  $\mathcal{H}$  (i.e.,  $\mathcal{H}' \triangleright \mathcal{H}$ ).*

```

function lift (n, q,  $\varphi$ ) {
  addTermMap (n, q, { $\varphi$ },  $\lambda(q)$ ,  $\emptyset$ );
  addStartMap (n, q,  $\lambda(q)$ ,  $\emptyset$ );
  for (all cells  $q' \neq q$ ) {
    addTermMap (n,  $q'$ ,  $\emptyset$ ,  $\lambda(q')$ ,  $\emptyset$ );
    addStartMap (n,  $q'$ ,  $\lambda(q')$ ,  $\emptyset$ );
  }
}

function addTermMap (n, q, S1, S2, S3) {
   $Q_{n-1} := Q_{n-1} \cup \{q_{n-1}\}$ ; // fresh cell
  update map  $t_{n+1}$  s.t.  $t_{n+1}(q) = q_{n-1}$ ;
  label  $q_{n-1}$  with  $\lambda(q_{n-1}) = S1 \cup \{\varphi \mid [] \varphi \in S2 \cup S3\}$ ;
  for (i=1 to n) {
     $r_{n-2}^i := \text{addTermMap}(n-1, s_i(q), \emptyset, \lambda(s_i(q)), \emptyset)$ ;
     $Q_{n-2} := Q_{n-2} \cup \{r_{n-2}^i\}$ ;
    update map  $s_i$  s.t.  $s_i(t_{n+1}(q)) = r_{n-2}^i$ ;
     $\lambda(q_{n-1}) := \lambda(q_{n-1}) \cup \{\varphi \mid [[]] \varphi \in \lambda(r_{n-2}^i)\}$ ; // update label
  }
  for (i=1 to n) {

```

```

 $q_{n-2}^i := \text{addTermMap}(n-1, t_i(q), \emptyset, \lambda(t_i(q)), \lambda(q_{n-1}));$ 
 $Q_{n-2} := Q_{n-2} \cup \{q_{n-2}^i\};$ 
  update map  $t_i$  s.t.  $t_i(t_{n+1}(q)) = q_{n-2}^i;$ 
}
return  $q_{n-1};$ 
}
function addStartMap( $n, q, S1, S2$ ) {
 $Q_{n-1} := Q_{n-1} \cup \{q_{n-1}\};$  // fresh cell
  update map  $s_{n+1}$  s.t.  $s_{n+1}(q) = q_{n-1};$ 
  label  $q_{n-1}$  with  $\lambda(q_{n-1}) = \{\{\}\varphi \mid \varphi \in S1 \cup S2\};$ 
  for ( $i=1$  to  $n$ ) { //add fresh  $s_n$  map to each  $t_i(q)$ 
 $r_{n-2}^i := \text{addStartMap}(n-1, t_i(q), \lambda(t_i(q)), \emptyset);$ 
 $Q_{n-2} := Q_{n-2} \cup \{r_{n-2}^i\};$ 
    update map  $t_i$  s.t.  $t_i(s_{n+1}(q)) = r_{n-2}^i;$ 
 $\lambda(q_{n-1}) := \lambda(q_{n-1}) \cup \{\langle \rangle \varphi \mid \varphi \in \lambda(r_{n-2}^i)\};$  //update label
  }
  for ( $i=1$  to  $n$ ) { //add fresh  $s_n$  map to each  $s_i(q)$ 
 $q_{n-2}^i := \text{addStartMap}(n-1, s_i(q), \lambda(s_i(q)), \lambda(q_{n-1}));$ 
 $Q_{n-2} := Q_{n-2} \cup \{q_{n-2}^i\};$ 
    update map  $s_i$  s.t.  $s_i(s_{n+1}(q)) = q_{n-2}^i;$ 
  }
  return  $q_{n-1};$ 
}

```

**Proof:** The proof has the same four stages as the proof of Lemma 2.21: 1) showing that  $\mathcal{H}' \triangleright \mathcal{H}$ ; 2) showing that the labeling introduces only consistent sets of formulas, so that they can be grown to atoms; 3) showing that  $\mathcal{H}'$  is still canonical; 4) showing that  $\mathcal{H}'$  is a model indeed, i.e., that all the maps are in place and all necessary cubical laws are respected. The proof makes use of the axioms (A5), (A6), (A6'), (A7'), (A8'), and (A9').

Note that the algorithm finishes with a completely new layer of cells denoted  $Q_{-1}$ ; in the end of the construction we have to rename all the layers  $Q_i$  into  $Q_{i+1}$  to make justice to the cells that reside there which have now dimension  $i + 1$  as we added one  $s$  and one  $t$  map to each. Also note that the construction terminates iff  $q$  is in a hypercube of finite dimension and in this case we ignore all the cells outside this cube. (The construction always terminates when we use it in the repair lemma 2.23.) Clearly the two functions do not change the labels nor the shape of the old  $\mathcal{H}$  and hence the lifted  $\mathcal{H}'$  extends  $\mathcal{H}$ . We continue to show that  $\mathcal{H}'$  is canonical.

Generally the proof of canonicity will flow nicely from the labeling, but first we must make sure that the labels introduced by the construction are consistent sets (here is where the axioms are put to work).

Each application of any of the two functions adds a single new cell and builds its label as well as its  $s$  and  $t$  maps. Consider the addTermMap function; there are two places where the label is changed, first is in the

beginning where the three input sets are used, and second is in the first loop where in each iteration the label is updated. The set S1 is not empty only when the function is applied to the initial cell  $q$  from the statement of the lemma. The lemma assumes that  $q \in Q_n$  is of dimension  $n$ , denote it  $q_n$  for this part of the proof, and it contains  $\langle \rangle \varphi \in \lambda(q_b)$  for which none of its  $s$  maps contain  $\varphi$ . This means that if before we could write  $\langle \rangle n \in \lambda(q_n)$  now we need to write  $\langle \rangle n + 1$ . Because of axiom (A5) and Lemma 2.17(iv) it means that  $\langle \rangle^{n+1} \top \in \lambda(q_n)$ . (As a side remark, we use Lemma 2.17(iv) tacitly in many places during the proofs of the two constructions lemmas.)

The first call to `addTermMap`( $n, q_n, \{\varphi\}, \lambda(q_n), \emptyset$ ) makes use of only sets S1 and S2 and constructs the set  $\{\varphi\} \cup \{\psi \mid []\psi \in \lambda(q_n)\}$ . This set is associated to  $q_{n-1} = t_{n+1}(q_n)$ . The proof is easy for this case and uses arguments as in the proof before: if we assume  $\psi_1 \wedge \dots \wedge \psi_k \rightarrow \perp$  then we get that  $[]\perp \in \lambda(q_n)$  which is a contradiction as  $\lambda(q_n)$  is an atom containing  $\langle \rangle \varphi$ ; if we assume  $\psi_1 \wedge \dots \wedge \psi_k \rightarrow \neg\varphi$  then we get that  $[]\neg\varphi \in \lambda(q_n)$  which is again a contradiction.

The second call to `addTermMap` is made for each  $s$  map of a cell  $q$  (in the first loop of the body of the `addTermMap`) and it uses only the set S2. This means that it labels a cell  $q_{n-1} = t_{n+1}(q)$  with a set  $\{\psi \mid []\psi \in \lambda(q)\}$ . Assume  $\psi_1 \wedge \dots \wedge \psi_k \rightarrow \perp$  which means that  $[]\perp \in \lambda(q)$ . This is a contradiction because  $\lambda(q)$  is an atom and it contains at least one diamond formula. This is because  $q$  has dimension at least 1 (as it has at least one  $t$  map) and we show that any cell of dimension  $n$ , with  $n \geq 1$ , has a formula  $\langle \rangle^n \top \in \lambda(q)$ . We showed before that the topmost cell  $q_n$  has the formula  $\langle \rangle^{n+1} \top$  in its label and hence it is of dimension  $n + 1$ . This means that any cell reached through one of its  $t$  maps will have the formula  $\langle \rangle^n \top$  because of axiom (A8') which says that  $\langle \rangle \langle \rangle^n \top \rightarrow []\langle \rangle^n \top$  it means that  $[]\langle \rangle^n \top \in \lambda(q_n)$  and by the construction of their labels it means that  $\langle \rangle^n \top \in \lambda(t_j(q_n))$ . This holds for any cell reached through any number of applications of  $t$  maps. On the other hand, the cells reached through an  $s$  map from  $q_n$ , by canonicity, they contain  $\{\}\langle \rangle^{n+1} \top$ , which, by axiom (A9') it means that  $\langle \rangle^n \top \in \lambda(s_j(q_n))$ .

It remains to see that with each iteration of the first loop the updated label remains a consistent set. This update is necessary when we are trying to respect the cubical laws of the form  $s_i(t_{k+1}(q)) = t_k(s_i(q))$ . The proof of this part follows an inductive argument, where the basis was just proven above and the inductive case is for some  $i$  iteration, where we consider that the label is a consistent set (and all the other labels that the construction uses have been built already and, hence, are atoms). Assume that for some  $\{\}\psi \in \lambda(t_k(s_i(q)))$  there has already been added the  $\neg\psi$  to  $\lambda(t_{k+1}(q))$ . This has happened in two cases: first if  $\neg\psi$  comes from  $\lambda(q)$ , i.e.,  $[]\neg\psi \in \lambda(q)$  which by canonicity it means that  $\{\}[]\neg\psi \in \lambda(s_i(q))$ . On the other hand we also

have that  $\langle \rangle \{\!\!\{ \} \!\!\} \psi \in \lambda(s_i(q)) \xrightarrow{(A7')} \{\!\!\{ \} \!\!\} \langle \rangle \psi \in \lambda(s_i(q))$ . Together with the above it means that  $\{\!\!\{ \} \!\!\} ([\ ] \neg \psi \wedge \langle \rangle \psi) \rightarrow \{\!\!\{ \} \!\!\} \langle \rangle (\neg \psi \wedge \psi) \xrightarrow{(A2), (A2')} \perp \in \lambda(s_i(q))$  which is a contradiction with the fact that  $\lambda(s_i(q))$  is an atom. The second case is when  $\neg \psi$  has been added in a previous iteration, i.e.,  $\{\!\!\{ \} \!\!\} \neg \psi \in \lambda(s_j(t_{k+1}(q)))$  with  $1 \leq j < i$ . But this means that each of these two cells must have at least one  $s$  map and enter the cubical law  $s_j(s_i(t_{k+1}(q))) = s_{i-1}(s_j(t_{k+1}(q))) = q''$ . By the canonicity of these lower cells we have that  $\{\!\!\{ \} \!\!\} \neg \psi \in \lambda(q'')$  and  $\{\!\!\{ \} \!\!\} \psi \in \lambda(q'')$ . From axiom (A6') we have that  $\{\!\!\{ \} \!\!\} \psi \in \lambda(q'')$  and thus  $\{\!\!\{ \} \!\!\} \psi \wedge \{\!\!\{ \} \!\!\} \neg \psi \rightarrow \{\!\!\{ \} \!\!\} (\psi \wedge \neg \psi) \rightarrow \{\!\!\{ \} \!\!\} \perp \in \lambda(q'')$  which is a contradiction.

The application of `addTermMap` in the second loop uses the  $S3$  set also and we are looking at cubical laws of type  $t_i(t_{k+1}(q)) = t_n(t_i(q))$  where  $S2 = \lambda(t_i(q))$  and  $S3 = \lambda(t_{k+1}(q))$ . Assume, for the sake of contradiction, that we have  $[\ ] \psi \in \lambda(t_{k+1}(q))$  and  $[\ ] \neg \psi \in \lambda(t_i(q))$ . By canonicity it means that  $\langle \rangle [\ ] \psi \in \lambda(q)$  and  $\langle \rangle [\ ] \neg \psi \in \lambda(q)$  and from axiom (A6) we have  $[\ ] \langle \rangle \neg \psi \in \lambda(q)$ . This means that  $[\ ] \langle \rangle \neg \psi \wedge \langle \rangle [\ ] \psi \rightarrow \langle \rangle (\langle \rangle \neg \psi \wedge [\ ] \psi) \rightarrow \langle \rangle \langle \rangle (\psi \wedge \neg \psi) \xrightarrow{(A2')} \perp \in \lambda(q)$  which is a contradiction.

For the labels added by the `addStartMap` function it is easy to see that no inconsistencies can appear. In the beginning of the function, the label is constructed by applying the  $\{\!\!\{ \} \!\!\}$  modality to the formulas from  $S2$  and  $S3$ . This cannot give inconsistencies. Then, in the first loop of the function, the label is updated by adding formulas that apply the  $\langle \rangle$  modality, and again we cannot get inconsistencies.

Next we show that  $\mathcal{H}'$  is canonical. Assume that for the lifted  $HDA$  the *second canonicity condition is broken*; i.e., consider  $q \in Q_n$  and assume  $t_i(q) = q'$  for which  $\varphi \in \lambda(q')$  and  $\langle \rangle \varphi \notin \lambda(q)$ , which is the same as  $\neg \langle \rangle \varphi \in \lambda(q)$ . We take cases after  $q$ .

First, clearly, if  $q, q' \in \mathcal{H}$  (meaning that  $1 \leq i \leq n-1$ ) then the canonicity is assured by the statement of the lemma (i.e.,  $\mathcal{H}$  is canonical).

Second,  $q \in \mathcal{H}$  and  $q'$  is added by `addTermMap` as the new cell linked to  $q$  by  $t_n(q) = q'$ . Now we take sub-cases depending on where does the  $\varphi$  formula come from.

- If  $\varphi \in S1$ ; this is the case when  $q$  is the initial cell from the statement of the lemma and hence it cannot be that  $\neg \langle \rangle \varphi \in \lambda(q)$ .
- If  $\varphi \in \{\varphi \mid [\ ] \varphi \in S2\}$  then  $[\ ] \varphi \in \lambda(q)$  and the assumption says that  $[\ ] \neg \varphi \in \lambda(q)$ . This is a contradiction as  $[\ ] \varphi \wedge [\ ] \neg \varphi \rightarrow [\ ] (\varphi \wedge \neg \varphi) \rightarrow [\ ] \perp \in \lambda(q)$  which is not possible because, as we showed before,  $\lambda(q)$  contains at least one existential formula, i.e.,  $\langle \rangle^k \top$ , where  $k$  is the dimension of  $q$ .



- If  $\varphi \in \{\varphi \mid []\varphi \in S3\}$  then  $q'$  is added by the second call to `addTermMap`, which means that we are respecting the cubical laws  $t_i(t_{k+1}(q_{k+1})) = t_k(t_i(q_{k+1}))$ , for  $1 \leq i \leq k$  and for some  $q_{n+1}$  for which our  $q = t_i(q_{k+1})$ . Then by the construction of the label it means that  $[]\varphi \in \lambda(t_{k+1}(q_{k+1}))$  which by the canonicity of these upper cells it means that  $\langle \rangle []\varphi \in \lambda(q_{k+1})$ . By axiom (A6) it means that  $[]\langle \rangle\varphi \in \lambda(q_{k+1})$  and thus, by the canonicity it means that  $\langle \rangle\varphi \in \lambda(q)$  which is a contradiction with our initial assumption as the labels are atoms and hence  $\neg\langle \rangle\varphi$  cannot be in the label  $\lambda(q)$ .
- Lastly, assume that  $\varphi$  is one of the formulas accumulated in the label of  $q'$  as a result of the first loop of `addTermMap`. This means that we are respecting the cubical laws  $s_i(t_{k+1}(q)) = t_k(s_i(q))$  and  $\{\!\!\}\varphi \in \lambda(s_i(q')) = \lambda(s_i(t_{k+1}(q))) = \lambda(t_k(s_i(q)))$ . By canonicity of the other cells it means that  $\langle \rangle\{\!\!\}\varphi \in \lambda(s_i(q))$  which by axiom (A7') it means that  $\{\!\!\}\langle \rangle\varphi \in \lambda(s_i(q))$ . By canonicity again it means that  $\langle \rangle\varphi \in \lambda(q)$  which is again a contradiction with our initial assumption.

Third, both  $q$  and  $q'$  are newly added by `addTermMap`, meaning that we are looking at the second loop. The proof is the same as before as the construction of the label and axiom (A6) do all the work.

Forth, both  $q$  and  $q'$  are newly added by `addStartMap`, meaning that we are in the first loop of `addStartMap` and there is a  $q_{k+1}$  with  $s_{k+1}(q_{k+1}) = q$  and  $t_i(s_{k+1}(q_{k+1})) = q' = s_k(t_i(q_{k+1}))$  for some  $i$ . By the construction of the label of  $s_{k+1}(q_{k+1})$ , i.e.,  $\lambda(q)$ , we have that for our formula  $\varphi \in \lambda(q')$  there exists  $\langle \rangle\varphi \in \lambda(q)$  because these are added in the label of  $q$  in the  $i$  step of the loop.

Assume now that for the lifted *HDA* the *first canonicity condition is broken*; i.e., consider  $q \in Q_n$  and assume  $s_i(q) = q'$  for which  $\varphi \in \lambda(q)$  and  $\{\!\!\}\varphi \notin \lambda(q')$ , which is the same as  $\neg\{\!\!\}\varphi \in \lambda(q')$ , or, by axiom (A4),  $\{\!\!\}\neg\varphi \in \lambda(q')$ . We again take cases after  $q$ .

Consider that  $q \in \mathcal{H}$  and  $q'$  is added by the function `addStartMap`. This may be done either in the first or in the second loop, but in any of the cases the construction of the labels ensures that if  $\varphi \in \lambda(q)$  then  $\{\!\!\}\varphi \in \lambda(q')$ . The same holds for the case when both  $q$  and  $q'$  are newly added by the second call to `addStartMap` (in the second loop).

Take now the case when both  $q$  and  $q'$  are newly added by the first call to `addTermMap`. Our initial assumption says that  $\{\!\!\}\neg\varphi \in \lambda(q')$  which means, by the iterative construction of the label of  $q$  in the loop, that  $\neg\varphi \in \lambda(q)$  which is a contradiction with our initial assumption that  $\varphi \in \lambda(q)$ .

By now we are sure that the labeling of  $\mathcal{H}'$  is canonical. Now we show that the lifting constructs indeed a *HDA*. This means that we must make

sure that all the cells have the right number of  $s$  and  $t$  maps and that all the cubical laws are respected.

The lift function takes as input the reference cell  $q$  and its dimension  $n$  together with the formula  $\varphi$  that causes the defect (i.e.,  $\langle \rangle \varphi \in \lambda$ ). Then the function adds one  $t$  map and one  $s$  map to  $q$  by calling `addTermMap` and `addStartMap` respectively. These two functions add one new cell and link it with either a  $t$  or an  $s$  map. All other cells that are connected to  $q$  must also be lifted, which is done in the loop of the lift function.

Consider now the `addTermMap` which takes as arguments the cell  $q$  (and its dimension  $k$ ) to which the new  $t$  map needs to be added. It also takes three sets of formulas which are used to construct the label of the new cell. We do not discuss here the labeling because we did this before. The rest of the proof is concerned with the geometric structure of the extended  $\mathcal{H}'$ .

The `addTermMap` function adds a new cell  $q_{k-1}$  of dimension one lower than that of the input cell  $q$ . It adds the new  $t_{k+1}$  map to  $q$ , which is the map with the largest index (i.e., the new index showing that the  $q$  cell has now dimension one greater,  $k+1$ ). The first loop does two operations. First it lifts all the old cells linked to  $q$  by an  $s$  map by adding one  $t$  map to each. Then, all these cells enter under new cubical laws that involve the  $s$  maps of the newly added  $q_{k-1}$  cell. In this way we add all the necessary  $s$  maps of  $q_{k-1}$  and also lift all the cells linked by an  $s$  map to  $q$  and respect cubical laws  $s_i(t_{k+1}(q)) = t_k(s_i(q))$ . In the second loop we add the new  $t_k$  map to each old cell linked to  $q$  by a  $t_i$  map. At the same time we add all the  $t$  maps for the new  $q_{k-1}$  cell and link these through the cubical laws  $t_i(t_{k+1}(q)) = t_k(t_i(q))$ .

The construction goes recursively at lower levels until reaching cells of dimension 0. These are the last cells lifted to have dimension 1. Here the recursion stops.

Consider now the similar function `addStartMap` which adds one  $s$  map to the input cell  $q$  of dimension  $k$  to make it now of dimension  $k+1$ . Therefore, it adds the map  $s_{k+1}(q) = q_{k-1}$ . In the first loop the function adds the new  $s_k$  maps to all the old cells linked to  $q$  by a  $t$  map. This finishes what we started in the second loop of `addTermMap`, i.e., finishes lifting all the  $t_i(q)$  cells. It also takes care to respect all the new cubical laws  $t_i(s_{k+1}(q)) = s_k(t_i(q))$  and, hence, to add the  $t_i$  maps to  $q_{k-1}$ .

The second loop complements what we started in the first loop of `addTermMap`. We finish adding the  $s_k$  maps to all the  $s_i(q)$  cells. It also adds all the  $s$  maps to  $q_{k-1}$  and respects the new cubical laws  $s_i(s_{k+1}(q)) = s_k(s_i(q))$ .  $\square$

**Lemma 2.23 (repair lemma)** *For any canonical HDA  $\mathcal{H}$  that has a defect we can build a corresponding  $\mathcal{H}'$  which is canonical and does not have this*



defect.

**Proof:** Consider that the canonical  $\mathcal{H}$  from the statement has a defect of type D1.

Apply the *enriching construction* to  $\mathcal{H}$  w.r.t. the defective cell  $q_n$  and the formula  $\psi$  (where  $\{\}\psi \in \lambda(q_n)$ ). The enriching lemma ensures that the new model  $\mathcal{H}'$  extends  $\mathcal{H}$  and is canonical. It is clear that the enriched model  $\mathcal{H}'$  does not have the defect that  $\mathcal{H}$  had.

Consider that the canonical  $\mathcal{H}$  from the statement has a defect of type D2. Apply the *lifting construction* to  $\mathcal{H}$  and the defective cell  $q_n$  (for which  $\langle\rangle\psi \in \lambda(q_n)$ ), to obtain, cf. lifting lemma, a canonical  $\mathcal{H}'$  which extends the old  $\mathcal{H}$ . It is clear that the new model does not have the defect that the old model had.  $\square$

**Theorem 2.24 (completeness)** *The axiomatic system of Table 2 is complete. Formally  $\forall\varphi : \models \varphi \Rightarrow \vdash \varphi$ .*

**Proof:** Using the truth lemma 2.19, the proof amounts to showing that for any consistent formula  $\varphi$  we can build a canonical saturated  $\mathcal{H}_\varphi$  that has a cell labeled with an atom that contains  $\varphi$ . We construct  $\mathcal{H}_\varphi$  in steps starting with  $\mathcal{H}_\varphi^0$  which contains only one cell  $q_0^0$  of dimension 0 labeled with an atom containing  $\varphi$ , i.e.,  $\lambda(q_0^0) = A_\varphi$ . Trivially,  $\mathcal{H}_\varphi^0$  is canonical. The cells used to construct our model are picked (in the right order) from the following sets  $S_i = \{q_i^j \mid j \in \omega\}$  where  $i \in \omega$  corresponds to the dimension  $i$ . Any of these cells may have defects and thus, we list all the defects, i.e., all the cells, and try to repair them in increasing order (i.e., we treat first defects on level 0 and continue upwards).

At some step  $n \geq 0$  in the construction we consider  $\mathcal{H}_\varphi^n = (Q^n, \bar{s}^n, \bar{t}^n, l^n)$  canonical. If  $\mathcal{H}_\varphi^n$  is not saturated then pick the smallest defect cell of  $\mathcal{H}_\varphi^n$ . For a D1 defect, i.e., a cell  $q_k \in Q_k$  and formula  $\{\}\psi \in \lambda(q_k)$ , apply enrich( $k, q_k, \psi$ ) and obtain a model  $\mathcal{H}_\varphi^{n+1}$  which is canonical, cf. Lemma 2.21, and does not have the D1 defect, cf. Lemma 2.23. For a D2 defect apply the lift construction to remove the defect. Moreover, any repaired defect will never appear in any extension model, independent of how many times we apply the enrich or lift constructions. Both enrich and lift pick their new cells from  $S$  in increasing order. We obtain  $\mathcal{H}_\varphi$  as a limit construction from all the  $\mathcal{H}_\varphi^n$ ; i.e.,  $\mathcal{H}_\varphi = (Q, \bar{s}, \bar{t}, l)$  as  $Q = \bigcup_{n \in \omega} Q^n$ ,  $\bar{s} = \bigcup_{n \in \omega} \bar{s}^n$ ,  $\bar{t} = \bigcup_{n \in \omega} \bar{t}^n$ ,  $l = \bigcup_{n \in \omega} l^n$ .  $\square$

### 3 Encoding Standard Modal Logic into Higher Dimensional Modal Logic

In this section we study the relation of *HDML* with standard modal logic and with linear time temporal logic LTL.

**Lemma 3.1 (Kripke structures)** *The class of Kripke structures is captured by the class of higher dimensional structures where all sets  $Q_n$  for  $n > 1$  are empty.*

**Proof:** Essentially this result is found in [vG06]. A *HDA*  $K = (Q_0, Q_1, s_1, t_1, l)$  is a special case of *HDA*s where all  $Q_n = \emptyset$  for  $n > 1$ . This is the class of *HDA*s that encode Kripke frames. Because  $Q_2$  (and all other cells of higher dimension) is empty there are no cubical laws to be applied. Therefore, there is no geometric structure on  $K$ . Moreover, the restriction on the labeling function  $l$  is not applicable (as  $Q_2$  is empty). Add to such a *HDA* a valuation function  $\mathcal{V}$  to obtain a Kripke model  $(Q_0, Q_1, s_1, t_1, l, \mathcal{V})$ .  $\square$

**Proposition 3.2 (axiomatization of Kripke *HDA*s)** *The class of higher dimensional structures corresponding to Kripke structures (from Lemma 3.1) is axiomatized by:*

$$\models \neg\langle \rangle \top \rightarrow \{\!\!\}\{\!\!\}\perp$$

**Proof:** We prove the double implication

$$\mathcal{H}, q \models \neg\langle \rangle \top \rightarrow \{\!\!\}\{\!\!\}\perp \text{ iff } \mathcal{H} \text{ is as in Lemma 3.1,}$$

for some *HDA*  $\mathcal{H}$  and any  $q \in Q$  a cell of any dimension. For the *if* direction if  $q \in Q_1$  then  $\mathcal{H}, q \not\models \neg\langle \rangle \top$  and hence the axiom holds trivially. When  $q \in Q_0$  then  $\mathcal{H}, q \models \neg\langle \rangle \top$  and thus it must be that  $\mathcal{H}, q \models \{\!\!\}\{\!\!\}\perp$ . This is true because for any  $q' \in Q_1$  with  $s_1(q') = q$  it is the case that  $\mathcal{H}, q' \models \{\!\!\}\perp$  because there are no  $q'' \in Q_2$  cf. Lemma 3.1.

For the *only if* direction consider a  $\mathcal{H}$  for which the axiom holds; we need to show that any  $Q_n$  with  $n > 1$  is empty. Assume the opposite, that there exists  $q_n \in Q_n$  with  $n > 1$ . This means that there is a sequence  $s_1(\dots s_i(q_n)) = q_0$  of source maps that ends in a cell  $q_0 \in Q_0$  of dimension 0. But  $\mathcal{H}, q_0 \models \neg\langle \rangle \top \rightarrow \{\!\!\}\{\!\!\}\perp$ , which means that there cannot be this sequence of source maps unless  $q_n$  is of dimension at most 1. This is a contradiction and hence the proof is finished.  $\square$

**Theorem 3.3 (standard modal logic)** *Consider the syntactic definition  $\diamond\varphi \triangleq \{\}\langle\varphi$ . The language of standard modal logic uses only  $\diamond$  and is interpreted only over higher dimensional structures as defined in the proof of Lemma 3.1 and only in cells of  $Q_0$ .*

**Proof:** First we check that we capture exactly the semantics of standard modal logic;  $\mathcal{H}, q_0 \models \diamond\varphi$  iff  $\mathcal{H}, q_0 \models \{\}\langle\varphi$  iff  $\exists q' \in Q_1$  s.t.  $s_1(q') = q_0$  and  $\mathcal{H}, q' \models \langle\varphi$  iff  $\exists q'_0 \in Q_0$  s.t.  $t_1(q') = q'_0$  and  $\mathcal{H}, q'_0 \models \varphi$ . This is the same as  $\exists q'_0 \in Q_0$  reached in “one transition” from  $q_0$  and  $\mathcal{H}, q'_0 \models \varphi$ . (We go only through one transition cell  $q' \in Q_1$ .)

Clearly, with the axiom of Proposition 3.2,  $\mathcal{H}, q_n \not\models \diamond\varphi$  for any  $q_n \in Q_n$  for any  $n \geq 1$ . Therefore,  $\diamond\varphi$  makes sense only interpreted in states from  $Q_0$ .

Second we check that the axioms of standard modal logic for  $\diamond$  hold in our axiomatic system. Clearly  $\diamond\perp \leftrightarrow \perp$ ; just apply (A2') and then (A2) to  $\{\}\langle\perp$ . It is easy to see that  $\Box\varphi \leftrightarrow \neg\diamond\neg\varphi$  as  $\neg\{\}\langle\neg\varphi \xleftrightarrow{(A4)} \{\}\neg\langle\neg\varphi \xleftrightarrow{(A4')} \{\}\langle\varphi$  and the semantic of  $\Box\varphi$  is the right one, i.e., for any  $q'_0 \in Q_0$ , reached through some transition  $q' \in Q_1$ , is the case that  $\mathcal{H}, q'_0 \models \varphi$ . We prove now that  $\diamond(\varphi \vee \varphi') \leftrightarrow \diamond\varphi \vee \diamond\varphi'$ . This is because  $\{\}\langle(\varphi \vee \varphi') \xleftrightarrow{(A3')} \{\}\langle(\varphi \vee \langle\varphi') \xleftrightarrow{(A3)} \{\}\langle\varphi \vee \{\}\langle\varphi' \xleftrightarrow{def} \diamond\varphi \vee \diamond\varphi'$ .

It is easy to see how we recover the corresponding inference rule for  $\diamond$ . We thus have all the axiomatic system of standard modal logic and the proof is finished.  $\square$

### 3.1 Boosting expressivity with the Until operator

The basic temporal logic is the logic with only the *eventually* operator (and the dual *always*). This language is expressible in the standard modal logic [BdRV01] and thus is expressible in *HDML* too. It is known that the *Until* operator  $\mathcal{U}$  adds to the expressivity of LTL (actually the *eventually* and *always* operators can be encoded with  $\mathcal{U}$  but not the other way around).

The *Until* operator cannot be encoded in *HDML* because of the local behavior of the start and terminate modalities. They have similar behavior as the ones in standard modal logic, and hence similar arguments about expressing  $\mathcal{U}$  apply to *HDML*. The *Until* modality expresses something about the whole model (about all the configurations of the model) in an existential manner. More precisely, the *Until* says that there must exist some configuration in the model satisfying some property  $\varphi$  and in all the configurations

on all the paths reaching the  $\varphi$  configuration some other property  $\psi$  must hold. Hence we need a notion of *path* in a *HDA*.

**Definition 3.4 (paths in HDAs)** A simple step in a HDA is either  $q_{n-1} \xrightarrow{s_i} q_n$  with  $s_i(q_n) = q_{n-1}$  or  $q_n \xrightarrow{t_i} q_{n-1}$  with  $t_i(q_n) = q_{n-1}$ , where  $q_n \in Q_n$  and  $q_{n-1} \in Q_{n-1}$  and  $1 \leq i \leq n$ . A path is a sequence of single steps and is denoted  $\pi$ . The first cell in a path is denoted  $st(\pi)$  and the ending cell in a finite path is  $en(\pi)$ .

In the same spirit as done for temporal logic we boost the expressivity of *HDML* by defining an  $\mathcal{U}$  operator over higher dimensional structures. Using this operator we can encode the standard *Until* operator of LTL.

**Definition 3.5 (Until operator)** Define an *Until* operator  $\varphi \mathcal{U} \varphi'$  which is interpreted over a HDA in a cell as below:

$$\begin{aligned} \mathcal{H}, q \models \varphi \mathcal{U} \varphi' \text{ iff } \exists \pi \in \mathcal{H} \text{ s.t. } st(\pi) = q \wedge en(\pi) = q', \\ \mathcal{H}, q' \models \varphi', \text{ and} \\ \forall q'' \in \pi, q'' \neq q' \text{ then } \mathcal{H}, q'' \models \varphi. \end{aligned}$$

**Proposition 3.6 (modeling LTL)** The LTL *Until* modality is encoded syntactically by  $\varphi \overline{\mathcal{U}} \varphi' \triangleq (\varphi \vee \langle \rangle \top) \mathcal{U} (\varphi' \wedge \phi_0)$  when  $\overline{\mathcal{U}}$  is interpreted only in states of Kripke HDAs as in Lemma 3.1 and for which the valuation function  $\mathcal{V}$  respects the restriction that  $\mathcal{V}(\phi_0) = Q_0$ , for  $\phi_0$  a special atomic proposition which is not available to the LTL language.

**Proof:** Essential for the proof is the fact that  $\overline{\mathcal{U}}$  is interpreted over restricted HDAs which model Kripke structures. Precisely, they have only cells of dimension 0 (the states) and 1 (the transitions), and moreover, the valuation function knows which are states because of the special proposition  $\phi_0$  which holds in all and only the cells of dimension 0. Therefore, the right formula of the  $\overline{\mathcal{U}}$  is evaluated only in states because  $(\varphi' \wedge \phi_0)$  can never hold in a cell of dimension greater than 0. Moreover, the transitions are not important for valuating the  $\varphi$  because the formula  $\langle \rangle \top$  is always true in a transition (because any transition has a target state). On the other hand the formula  $\langle \rangle \top$  is never true in a state and hence the  $\varphi$  has to be true so that the whole left part of the until to hold.

For this proof we only concentrate on showing that the semantics of the  $\overline{\mathcal{U}}$  corresponds to the well known LTL semantics. Thus, we should have  $\mathcal{H}, q_0 \models \varphi \overline{\mathcal{U}} \varphi'$  iff exists a finite sequence  $q_0^1, \dots, q_0^k$  with  $q_0^1 = q_0$ ,  $\mathcal{H}, q_0^k \models \varphi'$ ,  $\mathcal{H}, q_0^i \models \varphi$  for all  $1 \leq i < k$ , and for any  $1 < i \leq k$   $q_0^i$  is reachable through a single transition from  $q_0^{i-1}$ . We have  $\mathcal{H}, q_0 \models (\varphi \vee \langle \rangle \top) \mathcal{U} (\varphi' \wedge \phi_0)$  and by the

semantics of  $\mathcal{U}$  from Definition 3.5 we know that  $\exists \pi$  a path in the Kripke structure (i.e., going only through cells of dimension 0 or 1; which means is of the form  $q_0, q_1, q'_0, \dots$ ) s.t.  $st(\pi) = q_0 \wedge en(\pi) = q'$ ,  $\mathcal{H}, q' \models (\varphi' \wedge \phi_0)$ , and  $\forall q'' \in \pi, q'' \neq q'$  then  $\mathcal{H}, q'' \models (\varphi \vee \langle \rangle \top)$ . Clearly  $q' \in Q_0$  because  $\phi_0$  must hold in  $q'$  and  $\mathcal{H}, q' \models \varphi'$ . It remains to show that in all  $q''$  which are states (i.e., those  $q'' \in Q_0$ ) we have that  $\mathcal{H}, q'' \models \varphi$ . But we know that  $\mathcal{H}, q'' \not\models \langle \rangle \top$  because  $q''$ , being a cell of dimension 0, has no  $t$  map. Therefore, using the before we have that  $\mathcal{H}, q'' \models \varphi$ .  $\square$

## 4 Partial Order Models and their Logics in the Higher Dimensional Framework

In this section our study is mainly concerned with Mazurkiewicz traces [Maz77, Maz88] as a model of concurrency based on partial orders, because of the wealth of logics that have been developed for it [MT96, TW02]. Higher dimensional automata are more expressive than most of the partial orders models (like Mazurkiewicz traces, pomsets [Pra86], or event structures [NPW79]) as studied in [Pra00, vG06]. In particular [vG06] devotes an extensive part to showing how (all types of) Petri nets are representable as some class of higher dimensional automata. The works of [Pra00, Pra03, vG06] show (similar in nature) how event structures can be encoded in higher dimensional automata. Mazurkiewicz traces are a particular class of event structures, precisely defined in [RT91]. We use this presentation, as a restricted partial order, of Mazurkiewicz traces.

In the following we give definitions and standard results on partial orders, event structures, and Mazurkiewicz traces which are needed for the development of the higher dimensional modal logic for these models, in particular for Mazurkiewicz traces. In few words, we isolate the class of higher dimensional automata corresponding to Mazurkiewicz traces (and to partial orders or event structures in general) as the models of the *HDML*. Then we restrict *HDML* to get exactly the logics over Mazurkiewicz traces (we focus on the logics presented in [TW02, DG00]) and over general partial orders (like ISTL of [AMP05]).

**Definition 4.1 (partial orders)** *A partially ordered set (or poset) is a set  $E$  equipped with a partial order  $\leq$ ,  $(E, \leq)$ . The history of an element  $e \in E$  (denoted  $\downarrow e$ ) is  $\downarrow e = \{e' \mid e' \leq e\}$ . The notion of history is extended naturally to a set of elements  $C \subseteq E$  (denoted  $\downarrow C$ ). A configuration is a finite and history closed set of elements (i.e.,  $C = \downarrow C$ ). Denote by  $\mathcal{C}$  the set of all*

configurations. The immediate successor relation  $\leq \subseteq E \times E$  is defined as  $e \leq e'$  iff  $e \neq e'$  and  $e \leq e'$  and  $\forall e'' \in E, e \leq e'' \leq e'$  implies  $e = e''$  or  $e' = e''$ . A  $\Sigma$ -labeled poset  $(E, \leq, \lambda)$  is a poset with a labeling function  $\lambda : E \rightarrow \Sigma$  which maps each event to an action label from  $\Sigma$ . Define a transition relation on the configurations of a labeled poset as  $\longrightarrow \subseteq \mathcal{C} \times \Sigma \times \mathcal{C}$  given by  $C \xrightarrow{a} C'$  iff  $\exists e \in E$  s.t.  $\lambda(e) = a$  and  $e \notin C$  and  $C' = C \cup \{e\}$ .

**Definition 4.2 (Mazurkiewicz traces)** Consider a symmetric irreflexive independence relation  $I \subseteq \Sigma \times \Sigma$  and its complement  $D = \Sigma \times \Sigma \setminus I$ , called the dependence relation. Mazurkiewicz traces are labeled posets restricted by the independence relation as follows:

$$\begin{aligned} \forall e \in E, \quad \downarrow e \text{ is finite,} \\ \forall e, e' \in E, \quad e \leq e' \Rightarrow (\lambda(e), \lambda(e')) \in D, \\ \forall e, e' \in E, \quad (\lambda(e), \lambda(e')) \in D \Rightarrow e \leq e' \text{ or } e' \leq e. \end{aligned}$$

**Definition 4.3 (event structures)** Consider a symmetric irreflexive relation  $\# \subseteq E \times E$ . This conflict relation is added to a poset to form an event structure  $(E, \leq, \#)$  where the following restrictions apply:

$$\begin{aligned} \forall e, e', e'' \in E, \quad e \# e' \text{ and } e' \leq e'' \text{ implies } e \# e'', \\ \forall e, e' \in E, \quad e \in C \text{ and } e \# e' \text{ implies } e' \notin C. \end{aligned}$$

The second constraint on event structures says that the configurations of an event structure are conflict-free. Define the relation of concurrency for an event structure to be:

$$co = E \times E \setminus (\# \cup \leq \cup \leq^{-1}).$$

**Proposition 4.4 (families of configurations)** An event structure  $(E, \leq, \#)$  is uniquely determined by its family of configurations  $\mathcal{C}_E$  (denoted  $(E, \mathcal{C}_E)$ ).

**Proof :** This result is found in [Pra03]. We summarize here the results leading to it.

The two relations  $e \leq e'$  and  $e \# e'$  are mutually exclusive, because, otherwise, the set  $\downarrow e'$  would not be a configuration (because of the second constraint of Definition 4.3).

If two events  $e, e'$  do not appear together in any configuration of  $\mathcal{C}_E$  then  $e \# e'$  ( $e \# e'$  iff  $\nexists C \in \mathcal{C}_E$  s.t.  $e, e' \in C$ ).

If in any configuration where  $e'$  exists,  $e$  exists too then  $e \leq e'$  ( $e \leq e'$  iff  $\forall C \in \mathcal{C}_E, e' \in C \Rightarrow e \in C$ ).  $\square$

We usually use a labeled poset and work with labeled event structures  $(E, \leq, \#, \lambda)$ , or  $(E, \mathcal{C}_E, \lambda)$  when using their corresponding family of configurations (as in Proposition 4.4).

**Proposition 4.5 (traces as event structures)** *Any Mazurkiewicz trace, as in Definition 4.2, corresponds to a trace configuration structure, which is a labeled event structure  $(E, \mathcal{C}_E, \lambda)$  that respects the following restrictions:*

$$\lambda \text{ is a nice labeling and context-independent,} \quad (2)$$

where nice labeling means

$$\forall e, e' \in E, \lambda(e) = \lambda(e') \Rightarrow e \leq e' \text{ or } e' \leq e$$

and context-independent means

$$\begin{aligned} \forall a, b \in \Sigma, (\lambda^{-1}(a) \times \lambda^{-1}(b)) \cap co \neq \emptyset \Rightarrow \\ (\lambda^{-1}(a) \times \lambda^{-1}(b)) \cap \leq = \emptyset . \end{aligned}$$

**Proof:** This result is essentially found in [MT96, RT91]. Worth reminding here is how one gets the independence relation of a Mazurkiewicz trace from a trace configuration structure:

$$I = \{(a, b) \mid (\lambda^{-1}(a) \times \lambda^{-1}(b)) \cap co \neq \emptyset\}. \quad \square$$

One can view a configuration as a valuation of events  $E \rightarrow \{0, 1\}$ , and thus we can view an event structure as a valuation  $f_E : 2^E \rightarrow \{0, 1\}$ , which selects only those configurations that make the event structure.

The terminology that we adopt now steams from the Chu spaces representation of higher dimensional automata [Pra00, Pra03]. We fix a set  $E$ , which for our purposes denotes events. Consider the class of higher dimensional automata which form a single hypercube of dimension  $|E|$ , hence each event represents one dimension in the *HDA*. This hypercube is denoted  $3^E$ , in relation to  $2^E$ , because in this case each event may be in three phases, *not started*, *executing*, and *terminated* (as opposed to only terminated or not started). The valuation from before becomes now  $E \rightarrow \{0, \frac{1}{2}, 1\}$ , where  $\frac{1}{2}$  means executing. The set of three values is linearly ordered  $0 < \frac{1}{2} < 1$  to obtain an *acyclic HDA* [Pra03], and all cells of  $3^E$  (i.e., the configurations) are ordered by the natural lifting of this order pointwise. The dimension of a cell is equal to the number of  $\frac{1}{2}$  in its corresponding valuation.

**Notation:** In the context of a single hypercube  $3^E$  we denote the cells of the cube by lists of  $|E|$  elements  $e_1 e_2 \dots e_{|E|}$  where each  $e_i$  takes values in  $\{0, \frac{1}{2}, 1\}$  and represents the status of the  $i^{th}$  event of the *HDA*.

With the conventions above, the cells of dimension 0 (i.e., the states of the *HDA*) are denoted by the corresponding valuation restricted to only the two values  $\{0, 1\}$ ; and are the same as in the case of event structures. The set of states of such a *HDA* is partially ordered by the order  $<$  defined on them. In this way we obtain an event structure by removing those 0-dimensional cells



of  $3^E$  which do not respect the constraints that we want to impose on the partial order.<sup>3</sup> By Proposition 4.4 we can reconstruct the event structure.

In Definition 2.3 the interpretation of the start and terminate modalities of *HDML* did not take into consideration the labeling of the *HDA*. The labeling was used only for defining the geometry of concurrency of the *HDA*. Now we make use of this labeling function in the semantics of the labeled modalities of Definition 4.7. But first we show how the labeling extends to cells of any dimension.

**Definition 4.6 (general labeling)** *Because of the condition  $l(s_i(q)) = l(t_i(q))$  for all  $q \in Q_2$ , all the edges  $e_1 \dots e_{i-1} \frac{1}{2} e_{i+1} \dots e_{|E|}$ , with  $e_j \in \{0, 1\}$  for  $j \neq i$ , have the same label. Denote this as the label  $l_i$ . The label of a general cell  $q \in Q_n$  is the multiset of  $n$  labels  $l_{j_1} \dots l_{j_n}$  where the  $j$ 's are exactly those indexes in the representation of  $q$  for which  $e_j$  has value  $\frac{1}{2}$ .*

As is the case with multi-modal logics or propositional dynamic logics, we can extend *HDML* to have a multitude of modalities indexed by some alphabet  $\Sigma$  (the alphabet of the *HDA* in our case). This will be the same alphabet as that of the Mazurkiewicz trace represented by the *HDA*. In propositional dynamic logic there is an infinite number of modalities because they are indexed by an alphabet consisting of the regular expressions. But these can be expressed in terms of a finite number of modalities (indexed by only the basic expressions). In our case we consider only an unstructured alphabet  $\Sigma$  which is considered finite.

**Definition 4.7 (labeled modalities)** *Consider two labeled modalities start  $\{a\}\varphi$  and terminate  $\langle a \rangle\varphi$  where  $a \in \Sigma$  is a label from a fixed alphabet. The interpretation of the labeled modalities is given below:*

$$\begin{aligned} \mathcal{H}, q \models \{a\}\varphi & \text{ iff assuming } q \in Q_n \text{ for some } n, \\ & \exists q' \in Q_{n+1} \text{ s.t.} \\ & \quad s_i(q') = q \text{ for some } 1 \leq i \leq n, \\ & \quad l(q') = l(q)a \text{ and } \mathcal{H}, q' \models \varphi. \\ \mathcal{H}, q \models \langle a \rangle\varphi & \text{ iff assuming } q \in Q_n \text{ for some } n, \\ & \exists q' \in Q_{n-1} \text{ s.t.} \\ & \quad t_i(q) = q' \text{ for some } 1 \leq i \leq n, \\ & \quad l(q) = l(q')a \text{ and } \mathcal{H}, q' \models \varphi. \end{aligned}$$

Having the labeled modalities one can get the unlabeled variants as just the disjunction  $\{\}\varphi \triangleq \bigvee_{a \in \Sigma} \{a\}\varphi$  over all the labels.

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<sup>3</sup>We remove also all those cells which are connected with the 0-dimensional cells that we have removed.



In the remaining of this section we show how the LTrL logic of [TW02] is captured in the higher dimensional framework. This logic, as well as those presented in [MT96, DG00], are interpreted in some particular configuration of a Mazurkiewicz trace (or of a partial order). We take the view of Mazurkiewicz traces as restricted labeled posets from Proposition 4.2 but we use their representation using their corresponding family of configurations as in Proposition 4.5. Therefore, we now interpret *HDML* over restricted *HDA*s as we discussed above.

**Proposition 4.8 (encoding LTrL)** *The language of LTrL consists of the propositional part of HDML together with the syntactic definitions of the Until operator  $\overline{U}$  from Definition 3.6 and  $\overline{\langle a \rangle} \varphi \triangleq \{a\} \langle a \rangle \varphi$  for  $a \in \Sigma$ . When interpreted only in the states of a HDA representing a Mazurkiewicz trace this language has the same behavior as the one presented in [TW02]*

**Proof:** The states of the *HDA* are the configurations of the Mazurkiewicz trace. Thus, our definition of the LTrL language is interpreted in one trace at one particular configuration; as is done in [TW02]. The original semantics of LTrL uses transitions from one configuration to another labeled by an element from the alphabet  $\Sigma$  of the trace. It is easy to see that our syntactic definition of  $\overline{\langle a \rangle} \varphi$  has the same interpretation as the one in [TW02]. The proof is similar as the one in Theorem 3.3. The *Until* operator of [TW02] has the same definition as the one in standard LTL and thus we use the one defined in Proposition 3.6; the proof is easily adapted to the Mazurkiewicz traces setting.  $\square$

## 5 Conclusion

We have introduced a modal logic called *HDML* which is interpreted over higher dimensional structures. According to our knowledge, this has not been done before. The language of *HDML* is simple and the associated semantics is intuitive, accounting for the special geometry of the *HDA*s. An adaptation of the filtration method was used to prove that the logic is decidable. We have associated an axiomatic system to *HDML* which is just an adaptation and extension of the axiomatic system of modal logic (there are only four new axioms for each modality and the rest is adaptation of the modal axiomatization to our modalities). This system was proven to be complete for *HDA*s.

We isolated axiomatically the class of *HDA*s that encode Kripke structures and shown how standard modal logic is encoded into *HDML* when

interpreted only over these restricted *HDA*s. We then extended the expressiveness of *HDML* by defining an *Until* operator over *HDA*s. Using this *Until* operator, the LTL was encoded into *HDML* when interpreted over the Kripke *HDA*s.

As future work we are investigating a tableaux system for *HDML*. We are also trying to understand better the relation of *HDML* with other logics for weaker models of concurrency like with the modal logic of [LMRT90] for event structures or other logics for Mazurkiewicz traces. Particularly interesting is how our results relate to the undecidability results of [AP99] or to the logic of [AMP05].

### 5.1 Open Problems:

1. The tree model property cannot be the case for *HDML* but a similar property should be looked for. A property about a model with a nice structure. We should follow similar ideas as in the paper of Vardi [Var96] where automata that accept trees are used to decide the satisfiability of a logic that has the tree model property. We mean that automata to accept these nicely shaped models for *HDML* need to be provided together with the nice shaped model property. Otherwise this property would not be too beneficial.
2. Give a tableaux system for *HDML*.
3. See about adding a fix point operator to *HDML* in the style of  $\mu$ -calculus. Is the logic still decidable as is the case with modal  $\mu$ -calculus?!
4. Proposition 5.1 casts the restrictions on labeled event structures of Proposition 4.5 into the *HDA* setting in the view discussed above. These are exactly the *HDA* representing Mazurkiewicz traces.

**Proposition 5.1 (traces as *HDA*)**

### Acknowledgements:

I thank Martin Steffen and Olaf Owe for their useful comments and suggestions.

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